

# Algebraic Curves: Notes and Solutions

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## 1 Affine Algebraic Sets

**1.1.\*** Let  $R$  be a domain.

(a) If  $F, G$  are forms of degree  $r, s$  respectively in  $R[X_1, \dots, X_n]$ , show that  $FG$  is a form of degree  $r + s$ .

*Proof.* Since  $F, G$  are forms  $F = \sum_{i=1}^m a_i X^{(i)}$  and  $G = \sum_{j=1}^l b_j X^{(j)}$  with  $a_i, b_j \in k$  and  $X^{(i)}, X^{(j)}$  monomials of degree  $r, s$  for each  $(i), (j)$ , respectively. Observe

$$FG = \sum_{i=1}^m \sum_{j=1}^l a_i b_j X^{(i)} X^{(j)}.$$

Note each term is a monomial of degree  $r + s$ . Thus  $FG$  is a form.  $\square$

(b) Show any factor of a form in  $R[X_1, \dots, X_n]$  is also a form.

*Proof.* Suppose  $F$  is a form of degree  $n$  and  $G, H \in R[X_1, \dots, X_n]$  such that  $GH = F$ . Then  $G = G_0 + G_1 + \dots + G_r$  and  $H = H_0 + H_1 + \dots + H_s$  with  $r + s = n$ ,  $G_i, H_j$  forms of degree  $i, j$  for each  $i \in \{0, \dots, r\}$ ,  $j \in \{0, \dots, s\}$ , and  $G_r, H_s \neq 0$ .

Let  $i, j$  be the least integers such that  $G_i, H_j \neq 0$ . Then  $G_i H_j$  is a term of  $F$  with degree  $i + j$ . Since  $F$  is a form it must be that  $i + j = n$  and hence  $i = r, j = s$ .  $\square$

**1.2.\*** Let  $R$  be a UFD,  $K$  the quotient field of  $R$ . Show that every element  $z$  of  $K$  may be written  $z = a/b$ , where  $a, b \in R$  have no common factors; this representative is unique up to units of  $R$ .

*Proof.* Let  $z = a/b \in K$ . Since  $R$  is a UFD we have  $a = p_1 \dots p_n, b = q_1 \dots q_m$  with  $p_1, \dots, p_n, q_1, \dots, q_m \in R$  irreducible. Suppose  $q_i \mid p_j$  for some  $i, j$ . Then  $p_j = uq_i$  for some unit  $u \in R$ . So

$$z = \frac{a}{b} = \frac{p_1 \dots p_j \dots p_n}{q_1 \dots q_j \dots q_m} = \frac{p_1 \dots uq_i \dots p_n}{q_1 \dots q_i \dots q_m} = \frac{up_1 \dots p_{j-1} p_{j+1} \dots p_n}{q_1 \dots q_{i-1} q_{i+1} \dots q_m}.$$

By induction we may reduce  $a, b$  such that  $\gcd(a, b) = 1$ . That is, if  $u \in R$  such that  $u \mid a$  and  $u \mid b$ , then  $u$  is a unit.

Now suppose  $z = a/b = c/d \in K$  such that  $\gcd(a, b) = \gcd(c, d) = 1$ . So  $ad = bc$ . Since  $a \mid bc$  and  $\gcd(a, b) = 1$ , it must be that  $a \mid c$ . Thus  $c = ra$  for some  $r \in R$ . Moreover,  $d = rb$ . Since  $\gcd(c, d) = 1$ ,  $r$  is a unit. Thus the representation is unique up to units of  $R$ .  $\square$

**1.3.\*** Let  $R$  be a PID. Let  $P$  be a nonzero, proper, prime ideal in  $R$ .

(a) Show that  $P$  is generated by an irreducible element.

*Proof.* Since  $R$  is a PID,  $P = (a)$  for some  $a \in P$ . Suppose  $a = rs$  for some  $r, s \in R$ . Since  $P$  is prime we may assume, without loss of generality,  $r = ac, c \in R$ . Thus  $a = acs$ . So  $cs = 1$  and  $s$  is a unit. Thus  $a$  is irreducible.  $\square$

(b) Show that  $P$  is maximal.

*Proof.* Suppose  $Q = (b)$  is an ideal of  $R$  such that  $P \subset Q$ . Then  $a = br, r \in R$ . Since  $a$  is irreducible by part (a), one of  $b$  or  $r$  must be a unit. If  $r$  is a unit then  $P = (a) = (b) = Q$ . If  $b$  is a unit then  $bb^{-1} = 1 \in Q$ , so  $Q = (1) = R$ . Thus  $P$  is maximal.  $\square$

**1.4.\*** Let  $k$  be an infinite field,  $F \in k[X_1, \dots, X_n]$ . Suppose  $F(a_1, \dots, a_n) = 0$  for all  $a_1, \dots, a_n \in k$ . Show that  $F = 0$ .

*Proof.* Suppose  $n = 1$ , that is,  $F \in k[X]$ . Then since  $F$  has infinitely many roots,  $F = 0$ .

Suppose  $n > 1$  and the statement holds for  $k[X_1, \dots, X_{n-1}]$ . Observe we may write

$$F = \sum_{i=0}^{\deg(F)} F_i X_n^i$$

where  $F_i \in k[X_1, \dots, X_{n-1}]$  for each  $i$ . Let  $a_1, \dots, a_{n-1} \in k$ . If  $F_i(a_1, \dots, a_{n-1}) \neq 0$  for any  $i$  then  $F(a_1, \dots, a_{n-1}, X_n)$  has finitely many roots. So  $F_i(a_1, \dots, a_{n-1}) = 0$  for each  $i$ . Thus by the inductive hypothesis,  $F_i = 0$  for each  $i$  and  $F = 0$ .  $\square$

**1.5.\*** Let  $k$  be any field. Show that there are an infinite number of irreducible monic polynomials in  $k[X]$ .

*Proof.* Suppose there exist only finitely many irreducible monic polynomials  $F_1, \dots, F_n$ . Let  $F_{n+1} = F_1 \dots F_n + 1$ . Let  $G$  be an irreducible polynomial dividing  $F_{n+1}$ . Since  $G \neq F_i$  for any  $i$ , we have a contradiction.  $\square$

**1.6\*** Show that any algebraically closed field is infinite.

*Proof.* Let  $k$  be an algebraically closed field. By Problem 1.5 there are infinitely many irreducible polynomials in  $k[X]$ . But since  $k$  is algebraically closed the irreducible polynomials are precisely those of the form  $(X - a)$ ,  $a \in k$ . Thus  $k$  is infinite.  $\square$

**1.7.\*** Let  $k$  be a field,  $F \in k[X_1, \dots, X_n]$ ,  $a_1, \dots, a_n \in k$ .

(a) Show that

$$F = \sum \lambda_{(i)} (X_1 - a_1)^{i_1} \dots (X_n - a_n)^{i_n}, \lambda_{(i)} \in k.$$

*Proof.* Observe since  $K[X_1]$  is a Euclidean domain we may repeatedly divide by  $(X_1 - a_1)$   $a, b \in k$  and write  $F = \sum_{i=0}^{\deg(F)} \lambda_i (X_1 - a_1)^i$ ,  $\lambda_i \in k$ .

Suppose  $n > 1$  and the statement holds for  $k[X_1, \dots, X_{n-1}]$ . Then we may write

$$F = \sum \mu_{(i)} (X_1 - a_1)^{i_1} \dots (X_{n-1} - a_{n-1})^{i_{n-1}} G_{(i)}, \mu_{(i)} \in k, G_{(i)} \in k[X_n].$$

Moreover, for each  $(i)$  we may write  $G_{(i)} = \sum_{j=0}^{\deg(G_{(i)})} \mu_j (X_n - a_n)^j$ , each  $\mu_j \in k$ . By expanding and grouping by multidegree  $(i)$  we therefore may write

$$F = \sum \lambda_{(i)} (X_1 - a_1)^{i_1} \dots (X_n - a_n)^{i_n}, \lambda_{(i)} \in k.$$

$\square$

(b) If  $F(a_1, \dots, a_n) = 0$ , show that  $F = \sum_{i=1}^n (X_i - a_i)G_i$  for some (not unique)  $G_i$  in  $k[X_1, \dots, X_n]$ .

*Proof.* By part (a) we may write

$$F = \sum \lambda_{(i)}(X_1 - a_1)^{i_1} \dots (X_n - a_n)^{i_n}, \lambda_{(i)} \in k.$$

Since  $(a_1, \dots, a_n)$  is a root of  $F$  the above sum has no constant term, that is, for each  $(i)$  we have  $i_j \geq 1$  for some  $j \in \{1, \dots, n\}$ . Hence we may regroup the sum in the form

$$F = \sum_{i=1}^n (X_i - a_i)G_i.$$

□

**1.8.\*** Show that the algebraic subsets of  $\mathbb{A}^1(k)$  are just the finite subsets, together with  $\mathbb{A}^1(k)$  itself.

*Proof.* Suppose  $A = V(S)$  for some  $S \subset k[X]$ . If  $S = \emptyset$  then  $A = \mathbb{A}^1(k)$ . Otherwise let  $F \in S$ . Note  $A \subset V(F)$ . Since  $F$  has finitely many roots,  $V(F)$  is finite. Thus  $A$  is finite.

Conversely, by (4) any finite subset of  $\mathbb{A}^1(k)$  is algebraic. □

**1.9.** If  $k$  is a finite field, show that every subset of  $\mathbb{A}^n(k)$  is algebraic.

*Proof.* Since  $k$  is finite,  $\mathbb{A}^n(k)$  is finite. Thus every subset of  $\mathbb{A}^n(k)$  is finite and therefore algebraic by (4). □

**1.10.** Give an example of a countable collection of algebraic sets whose union is not algebraic.

Let  $F_n = (x - n)^2 \in \mathbb{R}[X]$ ,  $n \in \mathbb{Z}$ . We claim  $S = \bigcup_{n \in \mathbb{Z}} V(F_n) \subset \mathbb{R}$  is not algebraic in  $\mathbb{A}^2(k)$ .

*Proof.* Suppose  $S$  is algebraic. Then  $S \subset V(F)$  for some  $F \in \mathbb{R}[X]$ . Observe  $V(X) \cap S = \mathbb{Z}$ . Thus  $V(X) \cap V(F)$  is infinite, a contradiction. □

**1.11.** Show that the following are algebraic sets:

(a)  $\{(t, t^2, t^3) \in \mathbb{A}^3(k) \mid t \in k\}$ ;

Observe  $V(X - Y^2, X - Z^3) = \{(t, t^2, t^3) \in \mathbb{A}^3(k) \mid t \in k\}$ .

(b)  $\{(\cos(t), \sin(t)) \in \mathbb{A}^2(k) \mid t \in \mathbb{R}\}$ ;

It is easy to check  $V(X^2 + Y^2 - 1) = \{(\cos(t), \sin(t)) \in \mathbb{A}^2(k) \mid t \in \mathbb{R}\}$ .

(c) the set of points in  $\mathbb{A}^2(\mathbb{R})$  whose polar coordinates  $(r, \theta)$  satisfy the equation  $r = \sin(\theta)$ .

It is can be verified that this is the set  $V(X^2 + (Y - 1/2)^2 - 1/4)$ .

**1.12.** Suppose  $C$  is an affine plane curve, and  $L$  is a line in  $\mathbb{A}^2(k)$ ,  $L \not\subset C$ . Suppose  $C = V(F)$ ,  $F \in k[X, Y]$  a polynomial of degree  $n$ . Show that  $L \cap C$  is a finite set of no more than  $n$  points.

*Proof.* Suppose  $L = V(aY + bX + c)$ , where  $a, b, c \in k$  and at least one of  $a$  or  $b$  is nonzero. Without loss of generality, suppose  $a \neq 0$ . Then  $L = V(Y - dX - e)$ , where  $d = -b/a$  and  $e = -c/a$ .

If  $(x, y) \in L$  then  $y = dx + e$ . Thus if  $(x, y) \in L \cap C$  then  $F(x, y) = F(x, dx + e) = 0$ . Observe  $F(X, dX + e) \in k[X]$  with degree  $n$ . Thus  $F(X, dX + e)$  has at most  $n$  roots and  $L \cap C$  contains at most  $n$  points.  $\square$

**1.13.** Show that each of the following sets is not algebraic:

(a)  $\{(x, y) \in \mathbb{A}^2(\mathbb{R}) \mid y = \sin(x)\}$ ;

*Proof.* Suppose  $A = \{(x, y) \in \mathbb{A}^2(\mathbb{R}) \mid y = \sin(x)\} = V(S)$ ,  $S \subset \mathbb{R}[X, Y]$ . Clearly  $A \neq \mathbb{A}^2(\mathbb{R})$ , so  $S \neq \emptyset$ . Let  $F \in S$ . Then  $A \subset V(F)$ . But  $A \cap V(Y) = \{(2\pi t, 0) \mid t \in \mathbb{Z}\}$  is infinite. Thus  $V(F) \cap V(Y) \supset A \cap V(Y)$  is infinite, a contradiction to Problem 1.12.  $\square$

(b)  $\{(z, w) \in \mathbb{A}^2(\mathbb{C}) \mid |z|^2 + |w|^2 = 1\}$ ;

*Proof.* Suppose  $A = \{(z, w) \in \mathbb{A}^2(\mathbb{C}) \mid |z|^2 + |w|^2 = 1\} = V(S)$ ,  $S \subset \mathbb{C}[Z, W]$ . Clearly  $S \neq \emptyset$ . Let  $F \in S$ . Observe  $A \cap V(W) = \{(z, 0) \in \mathbb{A}^2(\mathbb{C}) \mid |z|^2 = 1\}$ , an infinite set. Thus  $V(F) \cap V(W)$  is infinite, a contradiction to Problem 1.12.  $\square$

(c)  $\{\cos(t), \sin(t), t) \in \mathbb{A}^3(\mathbb{R}) \mid t \in \mathbb{R}\}$ .

*Proof.* Suppose  $A = \{\cos(t), \sin(t), t) \in \mathbb{A}^3(\mathbb{R}) \mid t \in \mathbb{R}\} = V(S)$ ,  $S \subset \mathbb{R}[X_1, X_2, X_3]$ . Clearly  $S \neq \emptyset$ . Let  $F \in S$ . Note  $A \subset V(F)$ . So  $F(\cos(t), \sin(t), t) = 0$  for all  $t \in \mathbb{R}$ . Thus for any  $\theta \in [0, 2\pi)$ ,

$$F(\cos(\theta + 2n\pi), \sin(\theta + 2n\pi), \theta + 2n\pi) = F(\cos(\theta), \sin(\theta), \theta + 2n\pi).$$

for any  $n \in \mathbb{Z}$ . But fixing  $\theta$  this gives  $G(X) = F(\cos(\theta), \sin(\theta), X) \in \mathbb{R}[X]$  with  $G(n) = 0$  for any  $n \in \mathbb{Z}$ . This is a contradiction as  $G$  must have finitely many roots in  $\mathbb{R}$ .  $\square$

**1.14.\*** Let  $F$  be a nonconstant polynomial in  $k[X_1, \dots, X_n]$ ,  $k$  algebraically closed. Show that  $\mathbb{A}^n(k) \setminus V(F)$  is infinite if  $n \geq 1$ .

*Proof.* Suppose  $n = 1$ . Then  $F \in k[X]$  and  $F$  has finitely many roots. Since  $k$  is algebraically closed,  $k$  is infinite by Problem 1.6. Thus  $\mathbb{A}^1(k) \setminus V(F)$  is infinite.

Suppose  $n > 1$ . Let  $a_1, \dots, a_{n-1} \in k$ . Then  $G = F(a_1, \dots, a_{n-1}, X_n) \in k[X_n]$ . Note  $G$  has finitely many roots  $b_1, \dots, b_m$ . Observe

$$\mathbb{A}^n(k) \setminus V(F) \supset \{(a_1, \dots, a_{n-1}, x) \in \mathbb{A}^n(k) \mid x \in k \setminus \{b_1, \dots, b_m\}\}.$$

Therefore  $\mathbb{A}^n(k) \setminus V(F)$  is infinite. □

Show  $V(F)$  is infinite if  $n \geq 2$ .

*Proof.* Since  $F$  is nonconstant there exists  $i \in \{1, \dots, n\}$  such that

$$G = F(a_1, \dots, a_{i-1}, X_i, a_{i+1}, \dots, a_n) \in k[X_i]$$

is nonconstant, with arbitrary  $a_1, \dots, a_{i-1}, a_{i+1}, \dots, a_n \in k$ . Since  $k$  is algebraically closed and  $G$  nonconstant,  $G$  has a root in  $k$ . Thus for each selection of  $a_1, \dots, a_{i-1}, a_{i+1}, \dots, a_n \in k$ ,  $k$  infinite, there is a distinct root of  $F$  in  $\mathbb{A}^n(k)$ . Thus  $V(F)$  is infinite. □

**1.15.\*** Let  $V \subset \mathbb{A}^n(k)$ ,  $W \subset \mathbb{A}^m(k)$  be algebraic sets. Show that

$$V \times W = \{(a_1, \dots, a_n, b_1, \dots, b_m) \mid (a_1, \dots, a_n) \in V, (b_1, \dots, b_m) \in W\}$$

is an algebraic set.

*Proof.* Let  $V = V(A)$ ,  $W = V(B)$  with  $A \subset k[X_1, \dots, X_n]$ ,  $B \subset k[X_1, \dots, X_m]$ . Let us define  $\varphi : k[X_1, \dots, X_n] \rightarrow k[X_1, \dots, X_{n+m}]$  by

$$\varphi(F)(X_1, \dots, X_n) = F(X_1, \dots, X_n).$$

Similarly define  $\psi : k[X_1, \dots, X_m] \rightarrow k[X_1, \dots, X_{n+m}]$  by

$$\psi(F)(X_1, \dots, X_m) = F(X_{n+1}, \dots, X_{n+m}).$$

It is simple to see

$$V \times W = V(\varphi(A) \cup \psi(B)).$$

□

**(9)**  $V(I(V(S))) = V(S)$  for any set  $S$  of polynomials, and  $I(V(I(X))) = I(X)$  for any set  $X$  of points. So if  $V$  is an algebraic set,  $V = V(I(V))$ , and if  $I$  is the ideal of an algebraic set,  $I = I(V(I))$ .

*Proof.* Suppose  $P \in V(I(V(S)))$ . Then  $F(P) = 0$  for all  $F \in I(V(S))$ . In particular, by (8),  $F(P) = 0$  for all  $F \in S \subset I(V(S))$ . So  $P \in V(S)$ . Thus  $V(I(V(S))) \subset V(S)$ .

Suppose  $P \in V(S)$ . Then  $F(P) = 0$  for all  $F \in I(V(S))$  by definition. Thus  $P \in V(I(V(S)))$ . Hence  $V(S) = V(I(V(S)))$ .

Suppose  $F \in I(V(I(X)))$ . Then  $F(P) = 0$  for all  $P \in V(I(X))$ . In particular, by (8),  $F(P) = 0$  for all  $P \in X \subset V(I(X))$ . Thus  $F \in I(X)$ . So  $I(V(I(X))) \subset I(X)$ .

Suppose  $F \in I(X)$ . By definition of  $V(I(X))$ ,  $F(P) = 0$  for all  $P \in V(I(X))$ . Thus  $F \in I(V(I(X)))$ . Hence  $I(X) = I(V(I(X)))$ . □

**1.16.\*** Let  $V, W$  be algebraic sets in  $\mathbb{A}^n(k)$ . Show that  $V = W$  if and only if  $I(V) = I(W)$ .

*Proof.* Suppose  $V = W$ . Then by (6),  $I(V) = I(W)$ . Conversely, suppose  $I(V) = I(W)$ . Then by (9),  $V(I(V)) = V$  and  $V(I(W)) = W$ . By (3), since  $I(V) = I(W)$ ,  $V(I(V)) = V(I(W))$ . Therefore  $V = W$ . □

**1.17.\*** (a) Let  $V$  be an algebraic set in  $\mathbb{A}^n(k)$ ,  $P \in \mathbb{A}^n(k)$  a point not in  $V$ . Show that there is a polynomial  $F \in k[X_1, \dots, X_n]$  such that  $F(Q) = 0$  for all  $Q \in V$ , but  $F(P) = 1$ .

*Proof.* Since  $P \notin V$ , there exists  $F \in I(V)$  such that  $F(P) \neq 0$ . Moreover,  $F(Q) = 0$  for all  $Q \in V$  by definition of  $I(V)$ . Since  $k$  is a field there exists  $(F(P))^{-1} \in k$  such that  $(F(P))^{-1}F(P) = 1$ . Let  $G = (F(P))^{-1}F$ . Observe  $G(Q) = (F(P))^{-1}F(Q) = 0$  for all  $Q \in V$  and  $G(P) = (F(P))^{-1}F(P) = 1$ . □

(b) Let  $P_1, \dots, P_r$  be distinct points in  $\mathbb{A}^n(k)$ , not in an algebraic set  $V$ . Show that there are polynomials  $F_1, \dots, F_r \in I(V)$  such that  $F_i(P_j) = 0$  if  $i \neq j$ , and  $F_i(P_i) = 1$ .

*Proof.* Let  $i \in \{1, \dots, r\}$ . Let  $W_i = V \cup \{P_1, \dots, P_r\} \setminus \{P_i\}$ . Since  $P_i \notin W_i$ , by (a) there exists  $F_i \in I(W_i)$  such that  $F_i(P_j) = 0$  for all  $j \neq i$ , and  $F_i(P_i) = 1$ . □

(c) With  $P_1, \dots, P_r$  and  $V$  as in (b), and  $a_{ij} \in k$  for  $1 \leq i, j \leq r$ , show that there are  $G_i \in I(V)$  with  $G_i(P_j) = a_{ij}$  for all  $i$  and  $j$ .

*Proof.* Let  $F_1, \dots, F_r$  be as in (b). For each  $i \in \{1, \dots, r\}$  define  $G_i = \sum_{j=1}^r a_{ij}F_j$ . Then for  $i, j \in \{1, \dots, r\}$ ,

$$G_i(P_j) = \sum_{l=1}^r a_{il}F_l(P_j) = a_{ij}.$$

□

**1.18.\*** Let  $I$  be an ideal in a ring  $R$ . If  $a^n \in I$ ,  $b^m \in I$ , show that  $(a + b)^{n+m} \in I$ .

*Proof.* By the binomial theorem observe

$$(a + b)^{n+m} = \sum_{i=0}^{n+m} \binom{n+m}{i} a^{n+m-i} b^i.$$

If  $i < m$  then  $n + m - i > n$ . Therefore each term of the sum has either  $a^n$  or  $b^m$  as a factor. Since  $a^n, b^m \in I$ ,  $(a + b)^{n+m} \in I$ . □

Show that  $\text{Rad}(I)$  is an ideal, in fact a radical ideal.

*Proof.* Suppose  $a, b \in \text{Rad}(I)$ , that is, suppose  $a^n, b^m \in I$  for some  $n, m \in \mathbb{N}$ . By our result above,  $(a + b)^{n+m} \in I$ . Thus  $a + b \in \text{Rad}(I)$ . Moreover if  $a \in \text{Rad}(I)$ , then  $-a \in \text{Rad}(I)$ . Thus  $\text{Rad}(I)$  is an abelian group.

Now suppose  $a \in \text{Rad}(I)$ , with  $a^n \in I$ ,  $n \in \mathbb{N}$ . Let  $r \in R$ . Clearly  $(ra)^n = r^n a^n \in I$  and thus  $ra \in \text{Rad}(I)$ . Thus  $\text{Rad}(I)$  is an ideal.

Finally, suppose  $r \in R$  such that  $r^n \in \text{Rad}(I)$ ,  $n \in \mathbb{N}$ . Then  $(r^n)^m \in I$  for some  $m \in \mathbb{N}$ . So  $r^{nm} \in I$  and  $r \in \text{Rad}(I)$ . Thus  $\text{Rad}(I)$  is a radical ideal. □

**1.19.** Show that  $I = (X^2 + 1) \subset \mathbb{R}[X]$  is a radical (even a prime) ideal, but  $I$  is not the ideal of any set in  $\mathbb{A}^1(\mathbb{R})$ .

*Proof.* Note  $X^2 + 1$  is irreducible as it is degree 2 and has no roots in  $\mathbb{R}$ . Therefore  $(X^2 + 1)$  is a prime ideal. Moreover, since  $X^2 + 1$  has no roots in  $\mathbb{R}$ ,  $X^2 + 1 \notin I(V(S))$  for any  $S \subset \mathbb{A}^1(\mathbb{R})$ ,  $S \neq \emptyset$ . Additionally,  $I(V(\emptyset)) = \mathbb{R}[X] \neq (X^2 + 1)$ . Thus  $(X^2 + 1)$  is not the ideal of any set in  $\mathbb{A}^1(\mathbb{R})$ . □

**1.20.\*** Show that for any ideal  $I$  in  $k[X_1, \dots, X_n]$ ,  $V(I) = V(\text{Rad}(I))$ , and  $\text{Rad}(I) \subset I(V(I))$ .



*Proof.* It is clear  $I \subset \text{Rad}(I)$ , so  $V(\text{Rad}(I)) \subset V(I)$ . Suppose  $P \in V(I)$ . Let  $F \in \text{Rad}(I)$ . Then  $F^n \in I$ . Therefore  $(F(P))^n = 0$ . Thus  $F(P) = 0$ , since there are no zero divisors in  $k$ . Thus  $P \in V(\text{Rad}(I))$ . So  $V(I) = V(\text{Rad}(I))$ .

Observe by the result above we have  $I(V(I)) = I(V(\text{Rad}(I)))$ . Therefore by (8),  $\text{Rad}(I) \subset I(V(I))$ .  $\square$

**1.21.\*** Show that  $I = (X_1 - a_1, \dots, X_n - a_n) \subset k[X_1, \dots, X_n]$  is a maximal ideal, and that the natural homomorphism from  $k$  to  $k[X_1, \dots, X_n]/I$  is an isomorphism.

*Proof.* Suppose  $J$  is an ideal such that  $I \subset J$ . Let  $F \in J$ . By Problem 1.7 we may write

$$F = \sum \lambda(i)(X_1 - a_1)^{i_1} \dots (X_n - a_n)^{i_n}.$$

If  $F$  has no constant term clearly  $F \in I$ . Suppose  $F \notin I$ , that is, suppose  $F$  has a nonzero constant term  $c \in k$ . Then we may write  $F = G + c$  with  $G \in I$ . Thus  $F - G = c \in J$ . So  $1 \in J$  and  $J = k[X_1, \dots, X_n]$ . Therefore  $J = I$  or  $J = k[X_1, \dots, X_n]$ . So  $I$  is maximal.

Let  $\varphi : k \rightarrow k[X_1, \dots, X_n]/I$  be the natural homomorphism. Let  $F + I \in k[X_1, \dots, X_n]/I$ . By the same argument above we may write  $F = G + c$  with  $G \in I$ ,  $c \in k$ . Thus  $F + I = c + I = \varphi(c)$ . So  $\varphi$  is surjective. Moreover,  $\ker \varphi = I \cap k = \{0\}$ . Thus  $\varphi$  is injective. Hence  $\varphi$  is an isomorphism.  $\square$

**1.22.\*** Let  $I$  be an ideal in a ring  $R$ ,  $\pi : R \rightarrow R/I$  the natural homomorphism.

(a) Show that for every ideal  $J'$  of  $R/I$ ,  $\pi^{-1}(J')$  is an ideal of  $R$  containing  $I$ , and for every ideal  $J$  of  $R$  containing  $I$ ,  $\pi(J) = J'$  is an ideal of  $R/I$ . This sets up a natural one-to-one correspondence between ideals of  $R/I$  and ideals of  $R$  that contain  $I$ .

*Proof.* Suppose  $J'$  is an ideal of  $R/I$ . Since  $\varphi$  is a ring homomorphism,  $\varphi^{-1}(J')$  is an abelian group. Suppose  $r \in R$ ,  $j \in J'$ . Then observe  $\varphi(rj) = \varphi(r)\varphi(j) \in J'$ . Thus  $rj \in \varphi^{-1}(J') = J$ . So  $J$  is an ideal.

Suppose  $J$  is an ideal of  $R$ . Note  $\varphi(J) = J'$  is an abelian group. Let  $j+I \in \varphi(J)$ ,  $r+I \in R/I$ . Observe since  $j \in J$ ,  $rj \in J$ . Thus  $(j+I) + (r+I) = rj+I = \varphi(rj) \in \varphi(J) = J'$ . Thus  $J'$  is an ideal.  $\square$

(b) Show that  $J'$  is a radical ideal if and only if  $J$  is radical. Similarly for prime and maximal ideals.

*Proof.* Suppose  $J'$  is radical. Suppose  $r \in R$  such that  $r^n \in J$ . Then  $\varphi(r^n) = \varphi(r)^n \in J'$ . Thus  $\varphi(r) \in J'$ . Thus  $r \in \varphi^{-1}(J') = J$ .

Conversely, suppose  $J$  is radical. Let  $r+I \in R/I$  such that  $r^n+I \in J'$ . Then  $r^n \in \varphi^{-1}(J') = J$  and hence  $r \in J$ . So  $\varphi(r) = r+I \in J'$ .

Suppose  $J'$  is prime. Let  $a, b \in R$  such that  $ab \in J$ . Then  $\varphi(ab) = \varphi(a)\varphi(b) \in J'$ . So one of  $\varphi(a)$  or  $\varphi(b)$  must be in  $J'$ . Thus one of  $a$  or  $b$  must be in  $J$ .

Conversely, suppose  $J$  is prime. Let  $a+I, b+I \in R/I$  such that  $ab+I \in J'$ . Then  $ab \in J$ . So one of  $a$  or  $b$  must be in  $J$  and therefore one of  $a+I$  or  $b+I$  must be in  $J'$ .

Suppose  $J'$  is maximal. Suppose there exists a proper ideal  $K$  of  $R$  such that  $J \subsetneq K$ . Then by (a),  $\varphi(K)$  is a proper ideal of  $R/I$  properly containing  $J'$ , a contradiction. So  $J$  is maximal.

Conversely, suppose  $J$  is maximal. Suppose there exists a proper ideal  $K'$  of  $R/I$  such that  $J' \subsetneq K'$ . Then by (a),  $J' \subsetneq \varphi^{-1}(K') \subsetneq R$ , a contradiction. So  $J'$  is maximal.  $\square$

(c) Show that  $J'$  is finitely generated if  $J$  is. Conclude that  $R/I$  is Noetherian if  $R$  is Noetherian. Any ring of the form  $k[X_1, \dots, X_n]/I$  is Noetherian.

*Proof.* Suppose  $J$  is finitely generated by  $\{j_1, \dots, j_n\} \subset J$ . Then for any  $j \in J$  we have  $j = r_1j_1 + \dots + r_nj_n$ ,  $r_1, \dots, r_n \in R$ . Thus for any  $j+I \in J'$  we have

$$j+I = (r_1j_1 + \dots + r_nj_n) + I = (r_1+I)(j_1+I) + \dots + (r_n+I)(j_n+I).$$

That is,  $J'$  is generated by  $\{j_1+I, \dots, j_n+I\}$ .

Therefore if  $R$  is Noetherian, so is  $R/I$ . Since  $k[X_1, \dots, X_n]$  is Noetherian,  $k[X_1, \dots, X_n]/I$  is Noetherian for any ideal  $I$ .  $\square$

**1.23.** Give an example of a collection of ideals  $\mathcal{P}$  ideals in a Noetherian ring such that no maximal member of  $\mathcal{P}$  is a maximal ideal.

Take  $\mathcal{P} = \{(x^n) \mid n > 0\}$  and note all ideals in  $\mathcal{P}$  are properly contained in the maximal ideal  $(x, 2)$ .

**1.24.** Show that every proper ideal in a Noetherian ring is contained in a maximal ideal.

*Proof.* Let  $P$  be a prime ideal in a Noetherian ring  $R$ . Let

$$\mathcal{P} = \{I \subset R \mid I \text{ is an ideal, } P \subset I\}$$

The maximal element of  $\mathcal{P}$  is a maximal ideal of  $R$ . □

**Lemma 1.1.** If  $R$  is a PID then the prime ideals of  $R[X]$  are precisely those of the form  $(0)$ ,  $(F(X))$  where  $F$  is irreducible, and  $(P, F(X))$  where  $P$  is a prime ideal and  $F(X) + P$  is irreducible over  $(R/P)[X]$ .

*Proof.* Let  $I$  be a non-zero prime ideal of  $R[X]$ .

Suppose  $J = I \cap R \neq \{0\}$ . Then

$$R[X]/I \cong (R[X]/J[X])/(I/J[X]) \cong (R/J)[X]/(I/(J[X])).$$

Since  $R/J$  is a field,  $(R/J)[X]$  is a Euclidean Domain. Thus  $I/(J[X]) = (F(X) + J[X])$  for some  $F \in R[X]$ , of minimum degree, such that  $F + J[X]$  is irreducible over  $(R/J)[X]$ . Therefore  $I = (J, F(X))$ .

Suppose  $I \cap R = \{0\}$ . Let  $F \in I$  be of minimum degree. Suppose  $G \in I$  such that  $\gcd(F, G) = 1$ . By Gauss' Lemma  $\gcd(F, G) = 1$  in  $K[X]$  where  $K$  is the field of fractions of  $R$ . Since  $K[X]$  is a Euclidean domain, there exist  $A, B \in K[X]$  such that  $A(X)F(X) + B(X)G(X) = 1 \in K[X]$ . If we let  $\alpha \in R \setminus \{0\}$  be a common denominator for the coefficients of  $A, B$  then  $\alpha A(X)F(X) + \alpha B(X)G(X) = \alpha$ . Therefore  $\alpha \in I$ , a contradiction. Thus  $F \mid G$  for all  $G \in I$ . So  $I = (F)$ . □

**1.25.** (a) Show that  $V(Y - X^2) \subset \mathbb{A}^2(\mathbb{C})$  is irreducible, in fact,  $I(V(Y - X^2)) = (Y - X^2)$ .

*Proof.* Note  $Y - X^2$  is irreducible in  $\mathbb{C}(Y)[X]$ . Thus by Gauss' lemma  $Y - X^2$  is irreducible in  $\mathbb{C}[Y][X] = \mathbb{C}[X, Y]$ . □

(b) Decompose  $V(Y^4 - X^2, Y^4 - X^2Y^2 + XY^2 - X^3) \subset \mathbb{A}^2(\mathbb{C})$  into irreducible components.

*Proof.* Let  $V = V(Y^4 - X^2, Y^4 - X^2Y^2 + XY^2 - X^3)$ . Observe  $Y^4 - X^2 = (Y^2 - X)(Y^2 + X)$  and  $Y^4 - X^2Y^2 + XY^2 - X^3 = (Y^2 - X^2)(Y^2 + X) = (Y - X)(Y + X)(Y^2 + X)$ . Thus

$$V = V(Y^2 - X, (Y - X)(Y + X)) \cup V(Y^2 + X).$$

Observe if  $Y - X = 0$  or  $Y + X = 0$  then  $Y^2 = X^2$ . So  $X^2 - X = 0$  and  $X = 0$  or  $1$ .

$$\begin{aligned} V(Y^2 - X, (Y - X)(Y + X)) &= V(X, Y) \cup V(X - 1, Y^2 - 1) \\ &= V(X, Y) \cup V(X - 1, Y - 1) \cup V(X - 1, Y + 1). \end{aligned}$$

By Lemma 1.1, these are all irreducible varieties. Thus

$$V = V(X, Y) \cup V(X - 1, Y - 1) \cup V(X - 1, Y + 1) \cup V(Y^2 + X).$$

□

**1.26.** Show that  $F = Y^2 + X^2(X - 1)^2 \in \mathbb{R}[X, Y]$  is an irreducible polynomial, but  $V(F)$  is reducible.

*Proof.* Observe  $Y^2 + X^2(X - 1)^2$  is irreducible in  $k(Y)[X]$  and thus irreducible in  $k[X, Y]$ . However,  $V(F) = \{(0, 0), (1, 0)\} = V(X, Y) \cup V(X - 1, Y)$ . □

**1.27.** Let  $V, W$  be algebraic sets in  $\mathbb{A}^n(k)$  with  $V \subset W$ . Show that each irreducible component of  $V$  is contained in some irreducible component of  $W$ .

*Proof.* Let  $V = V_1 \cup \dots \cup V_n$  and  $W = W_1 \cup \dots \cup W_m$  be the decomposition of each into irreducible algebraic sets. Let  $i \in \{1, \dots, n\}$ . Suppose  $V_i \not\subset W_j$  for any  $j \in \{1, \dots, m\}$ . Then since  $V \subset W$  it must be that  $V_i \subset (\bigcup_k W_{j_k})$ . But then  $(V_i \cap W_{j_1}), \dots, (V_i \cap W_{j_k})$  is a decomposition of  $V_i$  into proper algebraic subsets, a contradiction. □

**1.28.** If  $V = V_1 \cup \dots \cup V_r$  is the decomposition of an algebraic set into irreducible components, show that  $V_i \not\subset \bigcup_j \neq i V_j$ .

*Proof.* Suppose  $V_i \subset V_j$  for some  $j \neq i$ . Then  $V_i \cap V_j$  and  $V_i \cap (\bigcup_{k \neq j} V_k)$  is a decomposition of  $V_i$  into proper algebraic subsets, a contradiction. □

**1.29.\*** Show that  $\mathbb{A}^n(k)$  is irreducible if  $k$  is infinite.

*Proof.* Suppose  $k$  is an infinite field. Suppose  $\mathbb{A}^k = V_1 \cup V_2$ . Then  $V_1 \subset V(F)$  and  $V_2 \subset V(G)$  for some nonzero  $F, G \in k[X_1, \dots, X_n]$ . So  $\mathbb{A}^k = V(F) \cup V(G) = V(FG)$ . By Problem 1.4,  $FG = 0$ , thus  $F = 0$  or  $G = 0$ , a contradiction. □

**1.30.** Let  $k = \mathbb{R}$ .

(a) Show that  $I(V(X^2 + Y^2 + 1)) = (1)$ .

*Proof.* Observe  $a^2 + b^2 + 1 \geq 1$  for all  $a, b \in \mathbb{R}$ . Thus  $I(V(X^2 + Y^2 + 1)) = I(\emptyset) = (1)$ .  $\square$

(b) Show every algebraic subset of  $\mathbb{A}^2(\mathbb{R})$  is equal to  $V(F)$  for some  $F \in \mathbb{R}[X, Y]$ .

*Proof.* By Corollary 2, it suffices to show points are  $V(F)$  for some  $F \in \mathbb{R}[X, Y]$ . Let  $(a_1, a_2) \in \mathbb{A}^2(\mathbb{R})$ . Define  $F = (X - a_1)^2 + (Y - a_2)^2$ . Observe that  $V(F) = \{(a_1, a_2)\}$ .  $\square$

**1.31.** (a) Find the irreducible components of  $V(Y^2 - XY - X^2Y + X^3)$  in  $\mathbb{A}^2(\mathbb{R})$  and  $\mathbb{A}^2(\mathbb{C})$ .

Observe that  $Y^2 - XY - X^2Y + X^3 = (Y - X)(Y - X^2)$ . So  $V(Y^2 - XY - X^2Y + X^3) = V(Y - X) \cup V(Y - X^2)$ . Since  $Y - X$  and  $Y - X^2$  are irreducible over both  $\mathbb{R}$  and  $\mathbb{C}$ , these are irreducible components

(b) Do the same for  $V(Y^2 - X(X^2 - 1))$ , and for  $V(X^3 + X - X^2Y - Y)$ .

In  $K[X]$  observe that  $X(X^2 - 1) \in (X)$  and  $X(X^2 - 1) \notin (X^2)$ . Therefore  $Y^2 - X(X^2 - 1)$  is irreducible in  $K[X][Y]$  by Eisenstein's criterion. Since  $Y^2 - X(X^2 - 1)$  has infinitely many solutions over both the real and complex numbers,  $V(Y^2 - X(X^2 - 1))$  is itself irreducible in  $\mathbb{A}^2(\mathbb{R})$  and  $\mathbb{A}^2(\mathbb{C})$ .

Observe that  $X^3 + X - X^2Y - Y = (X^2 + 1)(X - Y)$ . Note that  $X^2 + 1$  has no solutions in  $\mathbb{R}$ . Thus in  $\mathbb{A}^2(\mathbb{R})$  we have  $V(X^3 + X - X^2Y - Y) = V(X - Y)$ , which is irreducible. In  $\mathbb{C}$  we have  $X^3 + X - X^2Y - Y = (X - i)(X + i)(X - Y)$  and  $V(X^3 + X - X^2Y - Y) = V(X - i) \cup V(X + i) \cup V(X - Y)$ .

**1.32.** Show that both the weak and strong Nullstellensatz and all of the corollaries are false if  $k$  is not algebraically closed.

For the Weak Nullstellensatz, observe  $(X^2 + 1) \subset \mathbb{R}[X]$  is a proper ideal but  $V(X^2 + 1) = \emptyset$ .

For the Strong Nullstellensatz, consider Problem 1.26. Let  $F = Y^2 + X^2(X - 1)^2 \in \mathbb{R}[X, Y]$ . Note  $V(F) = \{(0, 0), (1, 0)\}$ . Thus  $I(V(F)) = (X(X - 1), Y) \neq \text{Rad}(F) = F$ . This is also a counterexample to Corollary 1.

For Corollaries 2 and 3 we again consider Problem 1.26. Observe  $F = Y^2 + X^2(X - 1)^2$  is irreducible, so  $(F)$  is prime. But  $V(F) = V(X, Y) \cup V(X - 1, Y)$ . Let  $G = X^2 + 1$ . Additionally if  $G = X^2 + 1$ , then  $(G)$  is a maximal ideal but  $V(G) = \emptyset$ .

For Corollary 4 let  $I = (Y^2 + X(X - 1)^2)$ . Above we saw  $V(I) = \{(0, 0), (1, 0)\}$ . However,  $k[X, Y]/I$  has the infinite linearly independent subset  $\{\bar{1}, \bar{Y}, \bar{Y}^2, \dots\}$ .

**1.33** (a) Decompose  $V(X^2 + Y^2 - 1, X^2 - Z^2 - 1) \subset \mathbb{A}^3(\mathbb{C})$  into irreducible components.

Observe that  $(X^2 + Y^2 - 1) - (X^2 - Z^2 + 1) = Y^2 + Z^2$ . Therefore  $(Y + iZ)(Y - iZ) \in (X^2 + Y^2 - 1, X^2 - Z^2 - 1)$ . If  $Y + iZ = X^2 + Y^2 - 1 = 0$  we have  $Y = -iZ$ . So  $X^2 - Z^2 - 1 = 0$ . The same is true if  $Y - iZ = X^2 + Y^2 - 1 = 0$ . Therefore  $V(X^2 + Y^2 - 1, X^2 - Z^2 - 1) = V(X^2 + Y^2 - 1, Y + iZ) \cup V(X^2 + Y^2 - 1, Y - iZ)$ . Observe

$$\begin{aligned} \mathbb{C}[X, Y, Z]/(X^2 + Y^2 - 1, X + iZ) &\cong (\mathbb{C}[X, Z]/(X + iZ))[Y]/(X^2 + Y^2 - 1) \\ &\cong \mathbb{C}[X, Y]/(X^2 + Y^2 - 1). \end{aligned}$$

We may observe that  $X^2 + Y^2 - 1$  is irreducible over  $\mathbb{C}[X][Y]$ . Therefore  $\mathbb{C}[X, Y]/(X^2 + Y^2 - 1)$  is an integral domain and  $(X^2 + Y^2 - 1, X + iZ)$  is a prime ideal. So  $V(X^2 + Y^2 - 1, X + iZ)$  is irreducible. A similar argument follows for  $V(X^2 + Y^2 - 1, X - iZ)$ .

(b) Let  $V = \{(t, t^2, t^3) \in \mathbb{A}^3(\mathbb{C}) \mid t \in \mathbb{C}\}$ . Find  $I(V)$ , and show that  $V$  is irreducible.

Note that  $V = V(Y - X^2, Z - X^3)$ . We will show that  $(Y - X^2, Z - X^3)$  is a prime ideal by showing that  $\mathbb{C}[X, Y, Z]/(Y - X^2, Z - X^3)$  is an integral domain. Observe

$$\begin{aligned} \mathbb{C}[X, Y, Z]/(Y - X^2, Z - X^3) &\cong (\mathbb{C}[X, Y]/(Y - X^2))[Z]/(Z - X^3) & (1) \\ &\cong \mathbb{C}[X, Z]/(Z - X^3) & (2) \\ &\cong \mathbb{C}[X]. & (3) \end{aligned}$$

Step 1 follows from the third isomorphism theorem (numbering from Dummit and Foote). Step 2 follows from the homomorphism  $Y \mapsto X^2$ . Step 3 follows from the homomorphism  $Z \mapsto X^3$ .

**1.34.** Let  $R$  be a UFD.

(a) Show a monic polynomial of degree two or three in  $R[X]$  is irreducible if and only if it has no roots in  $R$ .

*Proof.* Let  $F \in R[X]$  with  $2 \leq \deg(F) \leq 3$ .

Suppose  $G, H \in R[X]$  are nonconstant such that  $F = GH$ . Note that  $G$  and  $H$  must be monic. Since  $\deg(F) = \deg(G) + \deg(H)$ , one of  $\deg(G)$  or  $\deg(H)$  must be 1. Therefore one is of the form  $X - a$ ,  $a \in R$ . So  $a$  is a root of  $F$ .

Suppose  $a \in R$  is a root of  $F$ . Then by the division algorithm there exists  $G \in R[X]$  such that  $F(X) = (X - a)G(X)$ . □

(b) The polynomial  $X^2 - a$  is irreducible if and only if  $a$  is not a square in  $R$ .

*Proof.* Note that  $X^2 - a$  has a root in  $R$  if and only if  $a$  is a square in  $R$ . Therefore the result follows from part (a).  $\square$

**1.35.** Show that  $V(Y^2 - X(X-1)(X-\lambda)) \subset \mathbb{A}^2(k)$  is an irreducible curve for any algebraically closed field  $k$  and any  $\lambda \in k$ .

*Proof.* Note  $Y^2 - X(X-1)(X-\lambda)$  has no root in  $k[X]$ , and therefore it is irreducible over  $k[X, Y]$ .  $\square$

**1.36.** Let  $I = (Y^2 - X^2, Y^2 + X^2) \subset \mathbb{C}[X, Y]$ . Find  $V(I)$  and  $\dim_{\mathbb{C}}(\mathbb{C}[X, Y]/I)$ .

Note that  $X^2, Y^2 \in I$ . Thus since it is easy to see  $(X^2, Y^2) \supset I$ ,  $I = (X^2, Y^2)$ . Observe that  $\mathbb{C}[X, Y]/(X^2, Y^2)$  is spanned by the linearly independent set  $\{\bar{1}, \bar{X}, \bar{Y}, \bar{XY}\}$ .

**1.37.** Let  $k$  be any field,  $F \in k[X]$  of degree  $n > 0$ . Show the residues  $\bar{1}, \bar{X}, \dots, \bar{X}^{n-1}$  for a basis for  $k[X]/(F)$ .

*Proof.* Let  $F = \sum_{i=0}^n a_i x^i$ . Then  $\bar{X}^n = \sum_{i=0}^{n-1} \overline{-a_n^{-1} a_i x^i}$ . By induction,  $\bar{X}^m$  is a linear combination of the linearly independent set  $\{\bar{1}, \bar{X}, \dots, \bar{X}^{n-1}\}$ .  $\square$

**1.38.\*** Let  $R = k[X_1, \dots, X_n]$ ,  $k$  algebraically closed,  $V = V(I)$ . Show that there is a natural one-to-one correspondence between algebraic subsets of  $V$  and radical ideals in  $R/I$ , and that irreducible algebraic sets (resp. points) correspond to prime ideals (resp. maximal ideals).

*Proof.* Let  $U \subset V$  be an algebraic set. Then  $I(U)$  is a radical ideal in  $R$  such that  $I \subset I(U) \subset I(V)$ . Thus by Problem 22 (the Fourth Isomorphism Theorem in Dummit and Foote),  $\overline{I(U)}$  is a radical ideal in  $R/I$ .

Similarly, if  $\bar{J}$  is a radical ideal in  $R/I$  then  $J$  is a radical ideal in  $R$  with  $I \subset J$ . Thus  $V(J) \subset V(I)$ .

We prove the final statements by noting that an ideal  $J \supset I$  in  $R$  is prime (resp. maximal) if and only if  $\bar{J}$  is prime (resp. maximal) in  $R/I$ .  $\square$

**1.39.** (a) Let  $R$  be a UFD, and let  $P = (t)$  be a principal proper prime ideal. Show that there is no prime ideal  $Q$  such that  $0 \subset Q \subset P$ ,  $Q \neq 0$ ,  $Q \neq P$ .

*Proof.* Suppose  $Q \subset P$  is a prime ideal. Let  $q \in Q$ ,  $q \neq 0$ . Let  $q = p_0 p_1 \dots p_k$  with  $p_0, \dots, p_k$  irreducible. For any  $i$  note that if  $p_i \in P$  then  $p_i = ut$  for some unit  $u$ .

Suppose, with renumbering, that  $p_0, \dots, p_l \notin P$  and  $p_{l+1}, \dots, p_k \in P$ , where  $0 \leq l < k$ . Note that since  $q \in P$ ,  $l < k$ . Moreover, since  $p_0 \dots p_l \notin Q$ , it must be that  $p_{l+1} \dots p_k \in Q$ . But  $p_{l+1} \dots p_k = ut^{k-l}$  for some unit  $u$ . So it must be that  $t^{k-l} \in Q$ . But  $Q$  is prime, so therefore  $t \in Q$ . Thus  $Q = P$ .  $\square$

(b) Let  $V = V(F)$  be irreducible. Show that there is no irreducible algebraic set  $W$  such that  $V \subset W \subset \mathbb{A}^n$ ,  $W \neq V$ ,  $W \neq \mathbb{A}^n$ .

*Proof.* Observe if  $F$  is reducible then, by Corollary 4,  $F = G^n$  and  $I(V(F)) = (G)$  is prime. If  $V \subset W \subset \mathbb{A}^n$ , then  $0 \subset I(W) \subset I(V) = (G)$ . Therefore by part (a)  $I(W) = 0$  or  $I(W) = I(V)$ .  $\square$

**Bonus (Exercise 1.9 from Gathman's notes).** Prove that every affine variety  $X \subset \mathbb{A}^n$  consisting of finitely many points is the zero locus of  $n$  polynomials.

**Note.** For this exercise I used more standard notation (lowercase  $f, g, \dots$  for polynomials, etc.) to match the notation used by Gathmann.

*Proof.* Let  $X = \{p_1, \dots, p_r\}$  with each  $p_i = (a_{i1}, \dots, a_{in}) \in \mathbb{A}^n$ . We will define  $f_1, \dots, f_n \in k[x_1, \dots, x_n]$  such that  $X = V(f_1, \dots, f_n)$ .

Let  $S = \{1, \dots, r\}$ . Define  $f_1 = \prod_{i \in S} (x_1 - a_{i1})$ .

Let  $i \in S$ . Define the polynomial

$$g_i(x_1) = \frac{\prod_{j \in S \setminus \{i\}} (x_1 - a_{j1})}{\prod_{j \in S \setminus \{i\}} (a_{i1} - a_{j1})}.$$

Suppose  $f_1 = 0$ , that is,  $x_1 = a_{k1}$  for some  $k$ . Then  $g_i(x_1) = 0$  for all  $i \neq k$  and  $g_k(x_1) = 1$ .

Let  $d \in \{2, \dots, n\}$ . Define the polynomial

$$f_d(x_1, \dots, x_n) = \prod_{i \in S} (x_d - a_{id}) - \sum_{i \in S} \left[ g_i(x_1) (x_d - a_{id}) \left( \prod_{j \in S \setminus \{i\}} (x_d - a_{jd}) + 1 \right) \right].$$

Suppose  $f_1 = 0$ , that is,  $x_1 = a_{k1}$  for some  $k$ . Then  $g_i(x_1) = 0$  for all  $i \neq k$  and  $g_k(x_1) = 1$ .



Therefore

$$f_d(x_1, \dots, x_n) = \prod_{i \in S} (x_d - a_{id}) - (x_d - a_{id}) \left( \prod_{j \in S \setminus \{i\}} (x_d - a_{jd}) + 1 \right) = (x_d - a_{kd}).$$

Thus  $X = V(f_1, \dots, f_n)$ . □

**1.40.** Let  $I = (X^2 - Y^3, Y^2 - Z^3) \subset k[X, Y, Z]$ . Define  $\alpha : k[X, Y, Z] \rightarrow k[T]$  by  $\alpha(X) = T^9$ ,  $\alpha(Y) = T^6$ , and  $\alpha(Z) = T^4$ .

(a) Show that every element of  $k[X, Y, Z]/I$  is the residue of an element  $A + XB + YC + XYD$ , for some  $A, B, C, D \in k[Z]$ .

*Proof.* Observe that  $\overline{X^2} = \overline{Y^3}$  and  $\overline{Y^2} = \overline{Z^3}$ . Thus for  $n, m \geq 2$  we may write  $\overline{X^n}$  and  $\overline{Y^m}$  as the residue of a polynomial in  $k[Z]$ . □

(b) If  $F = A + XB + YC + XYD$ ,  $A, B, C, D \in k[Z]$  such that  $\alpha(F) = 0$ , show  $F = 0$ .

*Proof.* We may factor out  $T$ 's to find  $\alpha(F) = \alpha(A) + T^9\alpha(B) + T^6\alpha(C) + T^{15}\alpha(D)$ . Observe  $4n = 6 + 4m$  and  $9 + 5n = 15 + 4m$  have no integer solutions. Thus it must be that  $A = B = C = D = 0$ . □

(c) Show that  $\ker \alpha = I$ , so  $I$  is prime,  $V(I)$  is irreducible, and  $I(V(I)) = I$ .

*Proof.* By (b) we know  $\ker \alpha \subset I$ . It remains to show  $I \subset \ker \alpha$ . Observe  $\alpha(X^2 - Y^3) = T^{18} - T^{18} = 0$  and  $\alpha(Y^2 - Z^3) = T^{12} - T^{12} = 0$ . Thus if  $F \in I$ ,  $\alpha(F) = 0$ , and  $F \in \ker \alpha$ .

Since  $\ker \alpha = I$ , by the first isomorphism theorem  $k[X, Y, Z]/I \cong \text{Im } \alpha \subset k[T]$ . Since  $k[T]$  is an integral domain, so is  $k[X, Y, Z]/I$ ; therefore  $I$  is prime. Thus  $V(I)$  is irreducible and  $I(V(I)) = I$ . □

**1.41.\*** If  $S$  is module-finite over  $R$ , then  $S$  is ring finite over  $R$ .

*Proof.* Suppose  $S$  is module finite over  $R$ . Then  $S = \{r_1s_1 + \dots + r_ns_n \mid r_i \in R\}$  for some  $s_1, \dots, s_n \in S$ . Thus  $s = R[s_1, \dots, s_n]$ . □

**1.42.** Show  $S = R[X]$  is ring-finite over  $R$ , but not module finite.

*Proof.* Clearly  $R[X]$  is ring finite by definition. Observe for any finite set  $B \subset R[X]$  there exists a polynomial  $F \in B$  of maximum degree  $n$ . No polynomial in  $R[X]$  of degree  $n + 1$  or more is in the submodule generated by  $B$ .  $\square$

**1.43.\*** If  $L$  is ring-finite over  $K$  (with  $K, L$  fields) then  $L$  is a finitely generated field extension of  $K$ .

*Proof.* Suppose  $L = K[v_1, \dots, v_n]$ , with  $v_1, \dots, v_n \in L$ . Then  $L = K(v_1, \dots, v_n)$  and  $L$  is a finitely generated field extension of  $K$ .  $\square$

**1.44.\*** Show  $L = K(X)$  is a finitely generated field extension of  $K$ , but is not ring-finite over  $K$ .

*Proof.* It suffices to show  $L$  is not ring-finite over  $K$ . Suppose  $L = K[v_1, \dots, v_n]$ , with  $v_1, \dots, v_n \in L$ . Let  $b \in K[X]$  be a common denominator for  $v_1, \dots, v_n$ . Let  $c \in K[X]$  such that  $c \nmid b$ . Then  $1/c \in L$ , so  $1/c = a_1v_1 + \dots + a_nv_n$  for some  $a_1, \dots, a_n \in K$ . But  $b(a_1v_1 + \dots + a_nv_n) \in K[X]$ , while  $b/c \notin K[X]$ , a contradiction.  $\square$

**1.45.\*** Let  $R$  be a subring of  $S$ ,  $S$  a subring of  $T$ .

(a) If  $S = \sum Rv_i$ ,  $T = \sum Sw_j$ , show  $T = \sum Rv_iw_j$ .

*Proof.* Let  $x \in T$ . Then  $x = \sum_{j=1}^m s_jw_j$ , each  $s_j \in S$ . Moreover,  $s_j = \sum_{i=1}^n r_iv_i$ , each  $r_i \in R$ . Thus  $x = \sum Rv_iw_j$ .  $\square$

(b) If  $S = R[v_1, \dots, v_n]$  and  $T = S[w_1, \dots, w_m]$ , then  $T = R[v_1, \dots, v_n, w_1, \dots, w_m]$ .

*Proof.* Note that if  $F \in T$  then  $F = G(w_1, \dots, w_m)$  for some  $G \in S[X_1, \dots, X_n]$ . Each coefficient of  $G$  is in  $S = R[v_1, \dots, v_n]$ . Evaluating we have  $F \in R[v_1, \dots, v_n, w_1, \dots, w_m]$ . The converse follows by factoring.  $\square$

(c) If  $R, S, T$  are fields,  $S = R(v_1, \dots, v_n)$ , and  $T = S(w_1, \dots, w_m)$ , then

$$T = R(v_1, \dots, v_n, w_1, \dots, w_m).$$

*Proof.* Let  $K = R(v_1, \dots, v_n, w_1, \dots, w_m)$ . The elements  $v_1, \dots, v_n, w_1, \dots, w_m$  are contained in an extension field  $L$  of  $R$ . Observe that  $T$  is a subfield of  $L$  containing  $v_1, \dots, v_n, w_1, \dots, w_m$ , thus  $K \subset T$ .

Clearly  $S \subset K$ . Moreover,  $w_1, \dots, w_m \in K$ . So  $T \subset K$ . So  $T = K$ .  $\square$

**Proposition 3.** Let  $R$  be a subring of a domain  $S$ ,  $v \in S$ . Then the following are equivalent:

1.  $v$  is integral over  $R$ ;
2.  $R[v]$  is module finite over  $R$ ;
3. there is a subring  $R'$  of  $S$ ,  $R[v] \subset R'$ , such that  $R'$  is module finite over  $R$ .

We provide a detailed proof of (3)  $\Rightarrow$  (1) to clarify Fulton's proof.

*Proof.* Since  $R'$  is module finite over  $R$ ,  $R' = \sum_{i=1}^n R w_i$  for some  $w_1, \dots, w_n \in R'$ . For each  $i$  observe  $w_i v \in R'$ , so we may write  $w_i v = \sum_{j=1}^n a_{i,j} w_j$  for some  $a_{i,1}, \dots, a_{i,n} \in R$ . Let  $F$  be the field of fractions for  $S$  and define  $A \in F^{n \times n}$  as

$$A = \begin{bmatrix} v - a_{1,1} & -a_{1,2} & \dots & -a_{1,n} \\ -a_{2,1} & v - a_{2,2} & \dots & -a_{2,n} \\ \vdots & \vdots & \ddots & \vdots \\ -a_{n,1} & -a_{n,2} & \dots & v - a_{n,n} \end{bmatrix}$$

Note that  $v$  appears only along the diagonal of  $A$ , so we may write  $\det(A) = v^n + r_{n-1}v^{n-1} + \dots + r_1v + r_0$ , for some  $r_0, \dots, r_{n-1} \in R$ .

Let  $w = (w_1, \dots, w_n) \in F^n$ . Then

$$Aw = \left[ \sum_{j=1}^n (\delta_{i,j}v - a_{i,j})w_j \right]_{i \in \{1, \dots, n\}}$$

where  $\delta_{i,j} = 0$  if  $i \neq j$ , and  $\delta_{i,i} = 1$ . Observe

$$\sum_{j=1}^n (\delta_{i,j}v - a_{i,j})w_j = w_i v - \sum_{j=1}^n a_{i,j}w_j = 0.$$

Since  $w \neq 0$ ,  $A$  is nonsingular. Thus

$$v^n + r_{n-1}v^{n-1} + \dots + r_1v + r_0 = \det(A) = 0.$$

So  $v$  is integral over  $R$ . □

**1.46.\*** Let  $R$  be a subring of  $S$ ,  $S$  a subring of a domain  $T$ . If  $S$  is integral over  $R$ , and  $T$  is integral over  $S$ , show that  $T$  is integral over  $R$ .

*Proof.* Let  $v \in T$ . Then there exists  $f \in S[X]$  such that  $F(v) = 0$ . Let  $F = X^n +$

$a_{n-1}X^{n-1} + \dots + a_0$ ,  $a_0, \dots, a_{n-1} \in S$ . Note that  $a_0, \dots, a_{n-1}$  are each integral over  $R$ . Thus  $R[a_0, \dots, a_{n-1}]$  is module finite over  $R$  by inductively applying problem 1.45(a) and proposition 3. Observe that  $v$  is integral over  $R[a_0, \dots, a_{n-1}]$ . Therefore by problem 1.45(a) and proposition 3,  $R[a_0, \dots, a_{n-1}][v]$  is module finite over  $R$ . Since  $R[v] \subset R[a_0, \dots, a_{n-1}][v]$ ,  $v$  is integral over  $R$  by proposition 3.  $\square$

**1.47.\*** Suppose a domain  $S$  is ring finite over  $R$ . Show that  $S$  is module finite over  $R$  if and only if  $S$  is integral over  $R$ .

*Proof.* Suppose  $S = R[v_1, \dots, v_n]$  is integral over  $R$ . Then by inductively applying problem 1.45(a) and proposition 3 we have  $S$  module finite over  $R$ .

Suppose  $S$  is module finite over  $R$ . Let  $v \in S$ . Then  $R[v] \subset S$  and  $v$  is integral over  $R$  by proposition 3.  $\square$

**1.49.\*** Let  $L$  be a field, and  $k$  an algebraically closed subfield of  $L$ .

(a) Show that any element of  $L$  that is algebraic over  $k$  is in  $k$ .

*Proof.* Let  $v \in L$  such that  $F(v) = 0$  for some nonzero  $F \in k[X]$ . Since  $k$  is algebraically closed,  $F(X) = \prod_{i=1}^n (X - a_i)$  for  $a_1, \dots, a_n \in k$ . Thus  $v = a_i$  for some  $i$ .  $\square$

(b) An algebraically closed field has no module finite field extension other than itself.

*Proof.* Suppose  $L$  is a module finite field extension of  $k$ . Let  $v \in L$ . Since  $k[v] \subset L$ ,  $v$  is algebraic over  $k$  by Proposition 3. Thus  $v \in k$  by part (a). So  $L = k$ .  $\square$

**1.49.\*** Let  $K$  be a field,  $L = K(X)$ .

(a) Show any element of  $L$  that is integral over  $K[X]$  is already in  $K[X]$ .

*Proof.* Suppose  $H/G \in K(X)$ , with  $H, G$  relatively prime, such that

$$\left(\frac{H}{G}\right)^n + F_{n-1} \left(\frac{H}{G}\right)^{n-1} + \dots + F_0 = 0,$$

where  $F_0, \dots, F_{n-1} \in K[X]$ . So

$$H^n + F_{n-1}H^{n-1}G + \dots + F_0G^n = 0.$$

Therefore

$$H^n = G(-F_{n-1}H^{n-1} - \dots - F_0G^{n-1})$$

and  $G \mid H$ . Thus it must be that  $G \in K$  and  $H/G \in K[X]$ .  $\square$

(b) Show that there is no nonzero element  $F \in K[X]$  such that for every  $z \in L$ ,  $F^n z$  is integral over  $K[X]$  for some  $n > 0$ .

*Proof.* By part (a) this would imply that for each  $G \in K[X]$ , there exists  $n > 0$  such that  $F^n/G \in K[X]$ . But clearly we may select a nonunit  $G \in K[X]$  that is relatively prime to  $F$ , a contradiction.  $\square$

**1.50.\*** Let  $K$  be a subfield of a field  $L$ .

(a) Show that the set of elements of  $L$  that are algebraic over  $K$  is a subfield of  $L$  containing  $K$ .

*Proof.* By the Corollary of Proposition 3 we have that the set of elements algebraic over  $K$  is a ring containing  $K$ . Thus it suffices to show if  $v \in L$  is algebraic over  $K$  then so is  $v^{-1}$ .

Suppose  $v \in L \setminus \{0\}$  such that  $v^n + a_{n-1} + \dots + a_0 = 0$ , with  $a_0, \dots, a_{n-1} \in K$ . Observe

$$0 = v^n + a_{n-1} + \dots + a_0 = v^n \left( 1 + \frac{1}{v}a_{n-1} + \dots + a_0 \frac{1}{v^n} \right).$$

Since  $v \neq 0$ ,  $v^n \neq 0$ , it must be that

$$0 = 1 + \frac{1}{v}a_{n-1} + \dots + a_0 \frac{1}{v^n} = a_0 (a_0^{-1} + \dots + (v^{-1})^n).$$

So  $a_0^{-1} + \dots + (v^{-1})^n = 0$  and  $v^{-1}$  is algebraic over  $K$ .  $\square$

(b) Suppose  $L$  is module finite over  $K$  and  $K \subset R \subset L$ . Show  $R$  is a field.

*Proof.* Let  $v \in R \setminus \{0\}$ . It suffices to show  $v^{-1} \in R$ . Since  $K[v] \subset R \subset L$  and  $L$  is module finite over  $K$ , by Proposition 3,  $v$  is integral over  $K$ . Thus  $F(v) = v^n + a_{n-1} + \dots + a_0 = 0$ , with  $a_0, \dots, a_{n-1} \in K$ . Suppose  $F(X)$  is irreducible, and therefore  $a_0 \neq 0$ . Then

$$v(v^{n-1} + \dots + a_1) = -a_0.$$

So

$$v(v^{n-1} + \dots + a_1)(-a_0^{-1}) = 1.$$

Therefore  $v^{-1} = (v^{n-1} + \dots + a_1)(-a_0^{-1}) \in R$ . □

**Proposition 4.** If a field  $L$  is a ring finite over a subfield  $K$ , then  $L$  is module finite, and hence algebraic over  $K$ .

We provide a detailed proof below to clarify Fulton's proof.

*Proof.* Suppose a field  $L$  is ring finite over a subfield  $K$ , that is,  $L = K[v_1, \dots, v_n]$  for  $v_1, \dots, v_n \in L$ . We proceed by induction on  $n$ , the ring dimension of  $L$  over  $K$ .

Suppose  $n = 1$ , that is  $L = K[v]$ . Let us define  $\varphi : K[X] \rightarrow K[v]$  by  $X \mapsto v$ . Since  $K[X]$  is a PID,  $\ker \varphi = (F)$  for some  $F \in K[X]$ . Since  $K[X]/(F) = L$  is a field,  $(F)$  is maximal. If  $F = 0$ ,  $K[X] \cong K[v] = L$  and therefore  $K(X) \cong K[X]$ , a contradiction by Problem 1.44. Thus  $F \neq 0$  and  $F(v) = 0$ . So  $v$  is algebraic over  $K$  and  $L = K[v]$  is module finite over  $K$  by Proposition 3.

Suppose the proposition holds for all  $m$  such that  $1 \leq m < n$ . Observe that

$$L = K[v_1, \dots, v_{n+1}] = K(v_{n+1})[v_1, \dots, v_n].$$

Thus by the inductive hypothesis,  $L$  is module finite over  $K(v_{n+1})$ . It suffices to show  $v_{n+1}$  is algebraic over  $K$ .

Observe that for each  $i \in \{1, \dots, n\}$  there exists  $F_i \in K(v_{n+1})[X]$ , with  $F_i(X) = X^{m_i} + a_{i,m_i-1}X^{m_i-1} + \dots + a_{i,0}$ , such that  $F_i(v_i) = 0$ . Observe that there exists  $a \in K[v_{n+1}]$  such that  $aa_{i,j} \in K[v_{n+1}]$  for all  $i, j$ . For each  $i$  note that

$$0 = a^{m_i} F_i(v_i) = (av_i)^{m_i} + aa_{i,m_i-1}(av_i)^{m_i-1} + \dots + a^{m_i} a_{i,0} = G(av_i).$$

By our selection of  $a$ , observe that  $G \in K[v_{n+1}][X]$ . So  $av_i$  is integral over  $K[v_{n+1}]$  for each  $i$ . Therefore  $K[av_1, \dots, av_n, v_{n+1}]$  is integral over  $K[v_{n+1}]$ . Moreover, for each  $z \in L = K[v_1, \dots, v_{n+1}]$  there exists  $m > 0$  such that  $a^m z \in K[av_1, \dots, av_n, v_{n+1}]$ . In particular this is true for  $K(v_{n+1}) \subset L$ .

Suppose  $v_{n+1}$  is transcendental over  $K$ . Then  $K(v_{n+1}) \cong K(X)$ . But the existence of  $a$  is a contradiction to Problem 1.49(b). Thus  $v_{n+1}$  is algebraic over  $K$  and  $L$  is module finite over  $K$ . □

**Note.** The following ‘‘category theoretic’’ result will be quite useful in subsequent problems.

**Lemma 1.2** (descending to a quotient). Suppose  $R, S, T$  are groups (or rings, modules) and  $\pi : R \rightarrow S$ ,  $\varphi : R \rightarrow T$  are group (or ring, module) homomorphisms such that  $\ker \pi \subset \ker \varphi$ . Then there exists an induced homomorphism  $\tilde{\varphi} : \pi(R) \rightarrow T$  such that  $\tilde{\varphi} \circ \pi = \varphi$ . Moreover,  $\ker \tilde{\varphi} = \pi(\ker \varphi)$ .

*Proof.* Suppose  $a, b \in R$  such that  $\pi(a) = \pi(b)$ . Then  $a - b \in \ker \pi \subset \ker \varphi$ . So  $a + \ker \varphi = b + \ker \varphi$  and  $\varphi(a) = \varphi(b)$ . Thus the map  $\tilde{\varphi} : \pi(R) \rightarrow T$  defined by  $\pi(a) \mapsto \varphi(a)$  is well defined homomorphism.  $\square$

**1.51.\*** Let  $K$  be a field,  $F \in K[X]$  an irreducible polynomial of degree  $n > 0$ .

(a) Show that  $L = K[X]/(F)$  is a field, and if  $x$  is residue of  $X$  in  $L$ , then  $F(x) = 0$ .

*Proof.* Since  $K[X]$  is a PID,  $(F)$  is maximal and  $K[X]/(F)$  is a field. Let  $\varphi$  be the homomorphism  $K[X] \rightarrow K[X]/(F)$ . Observe that  $F(x) = F(\varphi(X)) = \varphi(F(X)) = 0$ .  $\square$

(b) Suppose  $L'$  is a field extension of  $K$ ,  $y \in L'$  such that  $F(y) = 0$ . Show the homomorphism  $\varphi : K[X] \rightarrow L'$  defined by  $X \mapsto y$  induces an isomorphism  $L \rightarrow K(y)$ .

*Proof.* Since  $F(y) = 0$ ,  $(F) \subset \ker \varphi$ . Thus by Lemma 1.2 there exists an induced homomorphism  $\tilde{\varphi} : L \rightarrow K(y)$ . Now suppose  $\ker \varphi \neq (F)$ . Then  $\ker \varphi = K[X]$  since  $(F)$  is maximal, a contradiction. Thus  $\ker \varphi = (F)$  and thus  $\tilde{\varphi}$  is an injective homomorphism. Moreover,  $\tilde{\varphi}(L) = \varphi(K[X])$  is a subfield of  $K(y)$  containing  $K$  and  $y$ . So  $\tilde{\varphi}(L) = K(y)$  and  $\tilde{\varphi}$  is an isomorphism.  $\square$

(c) With  $L', y$  as in (b), suppose  $G \in K[X]$  and  $G(y) = 0$ . Show that  $F$  divides  $G$ .

*Proof.* Observe that  $G \in \ker \varphi = (F)$ . So  $F \mid G$ .  $\square$

(d) Show that  $F = (X - x)F_1$ ,  $F_1 \in L[X]$ .

*Proof.* Observe that  $X - x$  is irreducible in  $L[X]$  and  $F(x) = 0$ . By (c),  $X - x \mid F$ .  $\square$

**1.52.** Let  $K$  be a field and  $F \in K[X]$ . Show there is a field  $L$  containing  $K$  such that  $F = \prod_{i=1}^n (X - x_i) \in L[X]$ .

*Proof.* Assume  $F$  is monic. Let us proceed by induction on  $n$ , the degree of  $F$ .

If  $n = 1$  then  $F = X - x$ ,  $x \in K$ .

Suppose the statement is true for all monic polynomials in  $K[X]$  of degree less than  $n$ . If  $F$  is reducible, the statement follows by the inductive hypothesis. Suppose  $F$  is irreducible. Then  $L = K[X]/(F)$  is a field. Let  $x$  be the image of  $X$  in  $L$ . Then  $F(x) = 0$  in  $L$ , so  $F = G(X - x)$  for some  $G \in L[X]$  of degree less than  $n$ . Thus by the inductive hypothesis, there exists an extension field  $M$  of  $L$  such that the statement holds. But since  $L$  is an extension field of  $K$ ,  $M$  is also an extension field of  $K$ .  $\square$

**1.53.\*** Suppose  $K$  is a field of characteristic zero, and  $F$  is an irreducible polynomial in  $K[X]$  of degree  $n > 0$ . Let  $L$  be a splitting field of  $F$ , so  $F = \prod_{i=1}^n (X - x_i)$ ,  $x_i \in L$ . Show the  $x_i$  are distinct.

*Proof.* Suppose some of the  $x_i$ 's are equal, that is, there exists  $x \in L$  such that  $(X - x)^m \mid F$  for some  $m > 1$ . Then  $F = (X - x)^m G$  for some  $G \in L[X]$ . Observe  $F_X = m(X - x)^{m-1}G + (X - x)^m G_X$ . Since  $K$  is of characteristic zero,  $F_X \neq 0$ . Observe  $F_X(X) = 0$ . By Problem 1.51(c), this implies  $F \mid F_X$ , a contradiction since  $0 < \deg F_X < \deg F$ .  $\square$

**1.54.\*** Let  $R$  be a domain with quotient field  $K$ , and let  $L$  be a finite algebraic extension of  $K$ .

(a) For any  $v \in L$  show there is a nonzero  $a \in R$  such that  $av$  is integral over  $R$ .

*Proof.* Let  $v \in L$ . Then  $v^n + a_{n-1}v^{n-1} + \dots + a_0 = 0$ , for  $a_i \in K$ . Let  $a$  be the common denominator of  $a_0, \dots, a_{n-1}$ . Then  $(av)^n + aa_{n-1}(av)^{n-1} + \dots + a^n a_0 = 0$ . Thus  $av$  is integral over  $R$ .  $\square$

(b) Show that there is a basis  $v_1, \dots, v_n$  of  $L$  over  $K$  such that each  $v_i$  is integral over  $R$ .

*Proof.* Let  $w_1, \dots, w_n$  be a basis for  $L$  over  $K$ . By (a) there exist  $a_1, \dots, a_n$  such that  $a_1 w_1, \dots, a_n w_n$  are all integral over  $R$ . Let  $v \in L$ . Then  $v = \sum_{i=1}^n b_i w_i$ , for  $b_i \in K$ . So  $v = \sum_{i=1}^n b_i a_i^{-1} (a_i w_i)$ . So  $a_1 w_1, \dots, a_n w_n$  spans  $L$  and hence is a basis for  $L$  over  $K$ .  $\square$



## 2 Affine Varieties

**2.1.\*** Show the map that associates each  $F \in k[X_1, \dots, X_n]$  to a polynomial function in  $\mathcal{F}(V, k)$  is a ring homomorphism with kernel  $I(V)$ .

*Proof.* Clearly the map is a ring homomorphism. Suppose  $F \in k[X_1, \dots, X_n]$  such that  $F$  is the zero function in  $\mathcal{F}(V, k)$ . Then  $F(P) = 0$  for all  $P \in V$ . Thus  $F \in I(V)$ . Similarly, if  $F \in I(V)$  then  $F$  is the zero function.  $\square$

**2.2.\*** Let  $V \subset \mathbb{A}^n$  be a variety. A *subvariety* of  $V$  is a variety  $W \subset \mathbb{A}^n$  that is contained in  $V$ . Show that there is a natural one-to-one correspondence between algebraic subsets (resp. subvarieties, resp. points) of  $V$  and radical ideals (resp. prime ideals, resp. maximal ideals) of  $\Gamma(V)$ .

*Proof.* Recall there is a one to one correspondence between radical, prime, and maximal ideals  $J/I(V)$  in  $\Gamma(V)$  and radical, prime, and maximal ideals  $J$  in  $k[X_1, \dots, X_n]$  such that  $I(V) \subset J$ . Observe that that  $W$  is an algebraic subset, subvariety, or point of  $V$ , if and only if  $I(W)$  is a radical, prime, or maximal ideal in  $k[X_1, \dots, X_n]$  with  $I(V) \subset I(W)$ .  $\square$

**2.3.\*** Let  $W$  be a subvariety of a variety  $V$ , and let  $I_V(W)$  be the ideal of  $\Gamma(V)$  corresponding to  $W$ .

(a) Show that every polynomial function on  $V$  restricts to a polynomial function on  $W$ .

*Proof.* Suppose  $f \in \mathcal{F}(V, k)$  is a polynomial function. Then there exists  $F \in k[X_1, \dots, X_n]$  such that  $f(a_1, \dots, a_n) = F(a_1, \dots, a_n)$  for all  $(a_1, \dots, a_n) \in V$ . Since  $W \subset V$ ,  $f|_W \in \mathcal{F}(W, k)$  is polynomial as well. In terms of coordinate rings, this restriction map defines a homomorphism  $\varphi : \Gamma(V) \rightarrow \Gamma(W)$  where  $\varphi(F + I(V)) = F + I(W)$ .  $\square$

(b) Show the map  $\varphi : \Gamma(V) \rightarrow \Gamma(W)$  defined in (a) is surjective with kernel  $I_V(W)$ , so  $\Gamma(W) \cong \Gamma(V)/I_V(W)$ .

*Proof.* Let  $\pi_V : k[X_1, \dots, X_n] \rightarrow \Gamma(V)$  and  $\pi_W : k[X_1, \dots, X_n] \rightarrow \Gamma(W)$  be the natural homomorphisms. Since  $\pi_W, \pi_V$  are surjective and  $\ker \pi_V \subset \ker \pi_W$ , by descending to the quotient (Lemma 1.2) there exists a surjective homomorphism  $\widetilde{\pi}_W : \Gamma(V) \rightarrow \Gamma(W)$  with  $\ker \widetilde{\pi}_W = \pi_W(I(V)) = I_V(W)$ . Moreover,  $\widetilde{\pi}_W(F + I(V)) = \pi_W(F) = F + I(W) = \varphi(F + I(V))$ . So  $\widetilde{\pi}_W = \varphi$ .  $\square$

**Note.** The proofs of the theorems below heavily use the standard isomorphism theorems for modules.

**Lemma 2.1.** If  $N$  is a submodule of a finitely generated  $R$ -module  $M$ , then  $M/N$  is finitely generated.

*Proof.* Let  $\{s_1, \dots, s_n\}$  be a generating set for  $M$ . Then  $\{s_1 + N, \dots, s_n + N\}$  generates  $M/N$ .  $\square$

**Lemma 2.2.** Suppose  $N$  is a submodule of an  $R$ -module  $M$  and  $N, M/N$  are finitely generated over  $R$ . Then  $M$  is finitely generated over  $R$ .

*Proof.* Let  $N = \sum Ra_i$ ,  $M/N = \sum R(b_i + N)$ . We will show that  $M = \sum Ra_i + \sum Rb_i$ . If  $x \in M$  then clearly  $x + N \in M/N$ . Therefore  $x + N = \sum_{i=1}^m r_i b_i + N$  for some  $r_i \in R$ . Therefore  $x - \sum_{i=1}^m r_i b_i = y \in N$ . Moreover,  $y = \sum_{i=1}^n s_i a_i$  for some  $s_i \in R$ . Therefore  $x = \sum_{i=1}^m r_i b_i + \sum_{i=1}^n s_i a_i$ .  $\square$

**Definition 2.3.** A module is Noetherian if every submodule is finitely generated.

**Lemma 2.4.** Suppose  $N$  is a submodule of an  $R$ -module  $M$ . Then  $M$  is Noetherian if and only if  $N, M/N$  are Noetherian. Equivalently if  $N, M, P$  are  $R$ -modules and

$$0 \longrightarrow N \longrightarrow M \longrightarrow P \longrightarrow 0$$

is exact, then  $M$  is Noetherian if and only if  $N, P$  are Noetherian.

*Proof.* Suppose  $M$  is Noetherian. Then  $N$  is clearly Noetherian since submodules of  $N$  are submodules of  $M$ . Moreover, any submodule  $L$  of  $M/N$  is  $R$ -module isomorphic to  $L'/N$ , where  $L'$  is some submodule of  $M$  containing  $N$ . Since  $L'$  is finitely generated,  $L$  is finitely generated by Lemma 2.1. Hence  $M/N$  is Noetherian as well.

Suppose conversely that  $N, M/N$  are Noetherian. Let  $L$  be a submodule of  $M$ . Then  $L \cap N$  is a submodule of  $N$  and hence is finitely generated. Moreover,  $L/(L \cap N)$  is isomorphic to  $(L + N)/N$ . Since  $(L + N)/N$  is a submodule of  $M/N$ ,  $(L + N)/N$  is finitely generated. Thus  $L \cap N$  and  $L/(L \cap N)$  are both finitely generated, and hence  $L$  is finitely generated by Lemma 2.2.

The result may be reinterpreted in terms of the exact sequence above by noting that  $P$  is  $R$ -module isomorphic to  $M/N$ .  $\square$

**Lemma 2.5.** If  $R$  is a Noetherian ring, then  $R^n$  is Noetherian as an  $R$ -module for all  $n \geq 1$ .

*Proof.* If  $n = 1$  then  $R$  is Noetherian as an  $R$ -modules since it is a Noetherian ring (the  $R$ -submodules of  $R$  are precisely the ideals of  $R$ ).

Suppose that  $R^n$  is a Noetherian  $R$ -module for some  $n \geq 1$ . Observe that  $R^{n+1}/R$  is  $R$ -module isomorphic to  $R^n$ , where  $R$  is identified with the  $(n+1)$ th copy of  $R$  in  $R^{n+1}$ . Since  $R^n, R$  are each Noetherian  $R$ -modules,  $R^{n+1}$  is Noetherian by Lemma 2.4.  $\square$

**Lemma 2.6.** If  $R$  is a Noetherian ring and  $M$  is an  $R$ -module,  $M$  is Noetherian if and only if it is finitely generated over  $R$ .

*Proof.* If  $M$  is Noetherian, then it is finitely generated by definition. Suppose  $M$  is generated by the finite set  $\{s_1, \dots, s_n\}$ . Note that there exists a natural surjective  $R$ -module homomorphism  $\varphi : R^n \rightarrow M$  mapping 1 in the  $k$ th copy of  $R$  to  $s_k$ . Thus  $M$  is  $R$ -module isomorphic to  $R^n / \ker \varphi$ . By Lemma 2.5,  $R^n$  is Noetherian. Hence  $M$  is Noetherian by Lemma 2.4.  $\square$

**Lemma 2.7.** Let  $K$  be a field and  $R \supset K$  an integral domain. Let  $L$  be the field of fractions of  $R$ . Then  $R$  is module finite over  $K$  if and only if  $L$  is a finite field extension of  $K$ .

*Proof.* Suppose  $R$  is module finite over  $K$  and  $\{v_1, \dots, v_n\}$  a basis for  $R$ . Note that since  $R$  is module finite over  $K$ ,  $v_1, \dots, v_n$  are each algebraic over  $K$ . So  $K(v_1, \dots, v_n)$  is a finite extension of  $K$ . Since  $R \subset K(v_1, \dots, v_n)$ ,  $L \subset K(v_1, \dots, v_n)$ . Thus  $L$  is a finite extension of  $K$ .

Suppose  $L$  is a finite extension of  $K$ . Since fields are Noetherian,  $L$  is Noetherian as a  $K$ -module by Lemma 2.6. Thus since  $K \subset R \subset L$ ,  $R$  is a finitely generated  $K$ -module.  $\square$

**2.4.\*** Let  $V \subset \mathbb{A}^n$  be a nonempty variety. Show the following are equivalent:

1.  $V$  is a point;
2.  $\Gamma(V) = k$ ;
3.  $\dim_k \Gamma(V) < \infty$ .

*Proof.* (1)  $\Leftrightarrow$  (2). Suppose  $V = \{(a_1, \dots, a_n)\} \subset \mathbb{A}^n$ . Then  $I(V) = (X_1 - a_1, \dots, X_n - a_n)$ . Therefore  $\Gamma(V) = k$ . Conversely, suppose  $\Gamma(V) = k$ . Then  $I(V)$  is maximal. Since  $k$  is algebraically closed,  $I(V) = (X - a_1, \dots, X - a_n)$ . Thus  $V$  is a point.

(2)  $\Leftrightarrow$  (3). Clearly if  $\Gamma(V) = k$ , then  $\dim_k \Gamma(V) = 1$ . Conversely, suppose  $\dim_k \Gamma(V) < \infty$ . Let  $L$  be the field of fractions for  $\Gamma(V)$ . By Lemma 2.7,  $L$  is finite field extension of  $k$ . Since  $k$  is algebraically closed, by Problem 1.48,  $L = k$ . Thus  $\Gamma(V) = L = k$ .  $\square$

**2.5.** Let  $F$  be an irreducible polynomial in  $k[X, Y]$ , and suppose  $F$  is monic in  $Y$ :  $F = Y^n + a_1(X)Y^{n-1} + \dots + a_n(X)$ , with  $n > 0$ . Let  $V = V(F) \subset \mathbb{A}^2$ . Show that the natural homomorphism from  $k[X]$  to  $\Gamma(V) = k[X, Y]/I(F)$  is one-to-one, so that  $k[X]$  may be regarded as a subring of  $\Gamma(V)$ ; show that the residues  $\bar{1}, \bar{Y}, \dots, \bar{Y}^{n-1}$  generate  $\Gamma(V)$  over  $k[X]$  as a module.

*Proof.* Observe that if  $n = 0$ , then  $F(X, Y) = 1$  is constant,  $(F) = k[X, Y]$ , and  $k[X, Y]/(F)$  is the trivial ring. Thus suppose  $n \geq 1$ .

Note that the natural homomorphism  $k[X] \rightarrow \Gamma(V)$  maps  $G \mapsto G + (F)$ . Suppose  $G, H \in k[X]$  such that  $H + (F) = G + (F)$ , that is,  $H - G \in (F)$ . Observe that  $F \nmid H - G$ , since  $n \geq 1$ , so  $G - H = 0$  and  $G = H$ . So the map is one-to-one.

Let  $G + (F) \in \Gamma(V)$ . Observe we have  $Y^n + (F) = -a_1(X)Y^{n-1} - \dots - a_0(X) + (F)$ . Hence for any  $m > 0$ ,  $Y^m + (F) = \sum_{i=0}^{n-1} b_{m,i}(X)Y^i$  for some  $b_{m,i} \in k[X]$ . Thus  $\bar{1}, \bar{Y}, \dots, \bar{Y}^{n-1}$  generate  $\Gamma(V)$  over  $k[X]$  as a module.  $\square$

**Bonus problem 2.a.** Show the map  $\tilde{\varphi} : \mathcal{F}(W, k) \rightarrow \mathcal{F}(V, k)$  is a homomorphism.

*Proof.* Observe that for any  $f, g \in \mathcal{F}(W, k)$  and any  $x \in W$ ,

$$\begin{aligned} ((f + g) \circ \varphi)(x) &= f(\varphi(x)) + g(\varphi(x)) \\ &= (f \circ \varphi)(x) + (g \circ \varphi)(x) \\ &= ((f \circ \varphi) + (g \circ \varphi))(x). \end{aligned}$$

Therefore  $\tilde{\varphi}(f + g) = \tilde{\varphi}(f) + \tilde{\varphi}(g)$ . Similarly,  $\tilde{\varphi}(fg) = \tilde{\varphi}(f)\tilde{\varphi}(g)$ .  $\square$

**Bonus problem 2.b.** If  $T_1, \dots, T_m \in k[X_1, \dots, X_n]$  determine a polynomial map  $T : \mathbb{A}^n \rightarrow \mathbb{A}^m$ , the  $T_i$  are uniquely determined by  $T$ .

*Proof.* Suppose  $F \in k[X_1, \dots, X_n]$  such that  $F(P) = T_i(P)$  for all  $P \in \mathbb{A}^n$ . Then  $(F - T_i)(P) = 0$  for all  $P \in \mathbb{A}^n$ . So  $F = T_i$  by Problem 1.4.  $\square$

**Bonus problem 2.c.** Let  $V \subset \mathbb{A}^n, W \subset \mathbb{A}^m$  be varieties. Let  $\varphi : V \rightarrow W$  be a polynomial map. Then  $\tilde{\varphi} : \Gamma(W) \rightarrow \Gamma(V)$  is a homomorphism. Moreover,  $F + I(W) \mapsto F \circ \varphi + I(V)$ .

*Proof.* Suppose  $\varphi$  is defined by the polynomials  $T_1, \dots, T_m \in k[X_1, \dots, X_n]$ . For any polynomial  $F \in k[X_1, \dots, X_m]$ ,  $\tilde{\varphi}(F) = F \circ \varphi = F(T_1, \dots, T_m)$  is a polynomial in  $k[X_1, \dots, X_n]$ .

We will now show that  $\tilde{\varphi}$  induces a well defined homomorphism  $\Gamma(W) \rightarrow \Gamma(V)$ , that is, if  $F + I(W), G + I(W) \in \Gamma(W)$  then  $\tilde{\varphi}(F) + I(V) = \tilde{\varphi}(G) + I(V)$ . Let  $H \in I(W)$ . Observe that  $(H \circ \varphi)(a_1, \dots, a_n) = 0$  for all  $(a_1, \dots, a_n) \in V$ . Thus  $\tilde{\varphi}(H) \in I(V)$ . Thus, by Problem 2.a, the map is a well defined homomorphism.

Although a slight abuse of notation, we use  $\tilde{\varphi}$  to refer to the homomorphism  $\Gamma(W) \rightarrow \Gamma(V)$  induced by  $\tilde{\varphi}$ . This falls in line with the dual use of  $\Gamma(V)$  as both the ring  $k[X_1, \dots, X_n]/I(V)$  and the set of all polynomial maps in  $\mathcal{F}(V, k)$ .  $\square$

**Note.** Recall that Fulton assumes all homomorphisms fix the field  $k$ . That is, the following statement is a bijection between polynomial maps and homomorphisms of coordinate rings that fix  $k$ .

**Proposition 1.** Let  $V \subset \mathbb{A}^n, W \subset \mathbb{A}^m$  be affine varieties. There is a one-to-one correspondence between the polynomial maps  $\varphi : V \rightarrow W$  and the homomorphisms  $\tilde{\varphi} : \Gamma(W) \rightarrow \Gamma(V)$ . Any such  $\varphi$  is the restriction of a polynomial map  $\mathbb{A}^n \rightarrow \mathbb{A}^m$ .

*Proof.* By Problem 2.c, each polynomial map  $V \rightarrow W$  induces a homomorphism  $\Gamma(W) \rightarrow \Gamma(V)$ . Moreover, any polynomial map  $V \rightarrow W$  is the restriction of a polynomial map  $\mathbb{A}^n \rightarrow \mathbb{A}^m$ . Thus it remains to prove the converse.

Let  $\alpha : \Gamma(W) \rightarrow \Gamma(V)$  be a homomorphism. For each  $i \in \{1, \dots, m\}$  select  $T_i \in k[X_1, \dots, X_n]$  such that  $T_i + I(V) = \alpha(X_i + I(W))$ . Let  $T : \mathbb{A}^n \rightarrow \mathbb{A}^m$  be the polynomial map defined by  $(T_1, \dots, T_m)$ . Let  $\tilde{T} : k[X_1, \dots, X_m] \rightarrow k[X_1, \dots, X_n]$  be the homomorphism defined by  $F \mapsto F \circ T$ . Let  $\pi_V : k[X_1, \dots, X_n] \rightarrow \Gamma(V)$  and  $\pi_W : k[X_1, \dots, X_m] \rightarrow \Gamma(W)$  be the natural homomorphisms.

$$\begin{array}{ccc} k[X_1, \dots, X_m] & \xrightarrow{\tilde{T}} & k[X_1, \dots, X_n] \\ \downarrow \pi_W & & \downarrow \pi_V \\ \Gamma(W) & \xrightarrow{\alpha} & \Gamma(V) \end{array}$$

Observe that

$$\begin{aligned} \pi_V(\tilde{T}(F)) &= \pi_V(F(T_1, \dots, T_m)) \\ &= F(T_1, \dots, T_m) + I(V) \\ &= F(\alpha(X_1 + I(W)), \dots, \alpha(X_m + I(W))) + I(V) \\ &= \alpha(F + I(W)) \\ &= \alpha(\pi_W(F)). \end{aligned}$$

Thus the diagram above commutes. In particular,  $\pi_V(\widetilde{T}(I(W))) = \alpha(\pi_W(I(W))) = 0 + I(V)$ . Thus  $\widetilde{T}(I(W)) \subset I(V)$ .

Let  $(a_1, \dots, a_n) \in \mathbb{A}^n$ . Suppose  $F \in I(W)$ . Then  $F(T(a_1, \dots, a_n)) = \widetilde{T}(F)(a_1, \dots, a_n) = 0$ , since  $\widetilde{T}(F) \in I(V)$ . Thus  $T(a_1, \dots, a_n) \in W$ .

Observe that  $\widetilde{T}|_V$  is the same as the homomorphism resulting from applying Lemma 1.2 to  $\pi_W$  and  $\pi_V \circ \widetilde{T}$ . Thus  $T|_V : V \rightarrow W$  is a polynomial map such that  $\widetilde{T}|_V = \alpha$ .  $\square$

**Corollary.** Let  $V, W$  be affine varieties. Then  $V$  is isomorphic to  $W$  if and only if  $\Gamma(V)$  is isomorphic to  $\Gamma(W)$ .

*Proof.* Suppose  $V \cong W$ , that is, there exist polynomial maps  $\varphi : V \rightarrow W$  and  $\psi : W \rightarrow V$  such that  $\psi \circ \varphi = \text{id}_V$  and  $\varphi \circ \psi = \text{id}_W$ . Note that  $\text{id}_{\Gamma(V)} = \widetilde{\text{id}_V} = \widetilde{\psi \circ \varphi}$ . Thus by Problem 2.6,  $\text{id}_{\Gamma(V)} = \widetilde{\psi \circ \varphi} = \widetilde{\psi} \circ \widetilde{\varphi}$ . Similarly,  $\text{id}_{\Gamma(W)} = \widetilde{\varphi \circ \psi} = \widetilde{\varphi} \circ \widetilde{\psi}$ . Thus  $\widetilde{\varphi}, \widetilde{\psi}$  are isomorphisms and  $\Gamma(V) \cong \Gamma(W)$ .

Conversely, suppose  $\Gamma(V) \cong \Gamma(W)$ , that is, there exist isomorphisms  $\widetilde{\varphi} : \Gamma(W) \rightarrow \Gamma(V)$  and  $\widetilde{\psi} : \Gamma(V) \rightarrow \Gamma(W)$  such that  $\widetilde{\varphi} \circ \widetilde{\psi} = \text{id}_{\Gamma(V)}$  and  $\widetilde{\psi} \circ \widetilde{\varphi} = \text{id}_{\Gamma(W)}$ . By Proposition 1,  $\widetilde{\varphi}, \widetilde{\psi}$  are induced by some polynomial maps  $\varphi : V \rightarrow W$  and  $\psi : W \rightarrow V$ . Since  $\widetilde{\varphi} \circ \widetilde{\psi} = \text{id}_{\Gamma(V)}$ ,  $F \circ (\psi \circ \varphi) + I(V) = F + I(V)$  for any  $F + I(V) \in \Gamma(V)$ . In particular, this is true for  $\sum_{i=1}^n X_i + I(V)$ . Thus  $(\psi \circ \varphi)(a_1, \dots, a_n) = (a_1, \dots, a_n) + F(a_1, \dots, a_n)$  for some  $F \in I(V)$ . Thus  $(\psi \circ \varphi)(a_1, \dots, a_n) = (a_1, \dots, a_n)$  for every  $(a_1, \dots, a_n) \in V$ . So  $\psi \circ \varphi = \text{id}_V$ . A similar argument shows  $\varphi \circ \psi = \text{id}_W$ , and therefore  $V \cong W$ .  $\square$

**2.6.\*** Let  $\varphi : V \rightarrow W, \psi : W \rightarrow Z$ . Show that  $\widetilde{\psi \circ \varphi} = \widetilde{\psi} \circ \widetilde{\varphi}$ . Show that the composition of a polynomial map is a polynomial map.

*Proof.* Let  $\varphi : V \rightarrow W, \psi : W \rightarrow Z$ . Let  $f \in \mathcal{F}(Z, k)$ . Observe that

$$\begin{aligned} \widetilde{\psi \circ \varphi}(f) &= f \circ (\psi \circ \varphi) \\ &= (f \circ \psi) \circ \varphi \\ &= \widetilde{\varphi}(f \circ \psi) \\ &= \widetilde{\varphi}(\widetilde{\psi}(f)) \\ &= (\widetilde{\varphi} \circ \widetilde{\psi})(f). \end{aligned}$$

Therefore  $\widetilde{\psi \circ \varphi} = \widetilde{\varphi} \circ \widetilde{\psi}$ . It is simple to verify that composing polynomial maps results in a polynomial map.  $\square$

**2.7.\*** If  $\varphi : V \rightarrow W$  is a polynomial map, and  $X$  is an algebraic subset of  $W$ , show that  $\varphi^{-1}(X)$  is an algebraic subset of  $V$ . If  $\varphi^{-1}(X)$  is irreducible, and  $X$  is contained in the image of  $\varphi$ , show that  $X$  is irreducible. This gives a useful test for irreducibility.

*Proof.* Observe that if  $P \in \varphi^{-1}(X)$  then  $\varphi(P) \in X$ . Thus  $F(\varphi(P)) = 0$  for all  $F \in I(X)$ . Moreover, if  $F(\varphi(P)) = 0$ , then  $\varphi(P) \in X$ , and  $P \in \varphi^{-1}(X)$ . So  $\varphi^{-1}(X) = V(\tilde{\varphi}(I(X)))$ .

Suppose that  $\varphi^{-1}(X)$  is irreducible and  $X \subset \varphi(V)$ . Suppose that  $I_W(X)$  is not prime, that is, there exist  $f, g \in \Gamma(W) \setminus I_W(X)$  such that  $fg \in I_W(X)$ . Since  $f, g \notin I_W(X)$  there exist  $P, Q \in X$  such that  $f(P) \neq 0, g(Q) \neq 0$ . Since  $X \subset \varphi(V)$ , there exist  $P', Q' \in \varphi^{-1}(V)$  such that  $\varphi(P') = P, \varphi(Q') = Q$ . Therefore  $(f \circ \varphi)(P') = f(P) \neq 0$  and  $\tilde{\varphi}(f) \notin I_V(\varphi^{-1}(X))$ . Similarly,  $\tilde{\varphi}(g) \notin I_V(\varphi^{-1}(X))$ . But,  $\tilde{\varphi}(f)\tilde{\varphi}(g) = \tilde{\varphi}(fg) \in I_V(\varphi^{-1}(X))$ . So  $I_V(\varphi^{-1}(X))$  is not prime, a contradiction to the assumption that  $\varphi^{-1}(X)$  is irreducible.  $\square$

**2.8.** (a) Show that  $\{t, t^2, t^3\} \subset \mathbb{A}^3(k)$  is an affine variety.

*Proof.* Let  $\varphi : \mathbb{A}^1(k) \rightarrow \mathbb{A}^3(k)$  be defined by  $t \mapsto (t, t^2, t^3)$ . Observe  $\varphi$  is a polynomial map. Let  $V = V(X - Y^2, X - Z^3) = \{(t, t^2, t^3) \in \mathbb{A}^3(k)\}$ . Note that  $\varphi(\mathbb{A}^1(k)) = V$  and  $\varphi^{-1}(V) = \mathbb{A}^1(k)$ . Recall  $\mathbb{A}^1(k)$  is irreducible by Problem 1.29. Therefore  $V$  is irreducible by Problem 2.7.  $\square$

(b) Show that  $V(XZ - Y^2, YZ - X^3, Z^2 - X^2Y) \subset \mathbb{A}^3(\mathbb{C})$  is a variety.

*Proof.* Let  $V = V(XZ - Y^2, YZ - X^3, Z^2 - X^2Y)$ . It is simple to compute that  $Y^3 - X^4, Z^3 - X^5, z^4 - Y^5 \in I(V)$ . Suppose  $(a, b, c) \in V$ . Then  $b^3 = a^4$ , that is,  $b = t^4$  whenever  $t$  is a third root of  $a$  in  $\mathbb{C}$ . Similarly,  $c = s^5$  where  $s$  is a third root of  $a$ . Since  $c^4 = b^5$  we have  $s^{20} = t^{20}$ . But  $t^3 = a = s^3$ . Thus  $t(s^{20}) = t(t^{20}) = (t^3)^7 = (s^3)^7 = s(s^{20})$ . So  $t = s$  and  $(a, b, c) = (t^3, t^4, t^5)$ . Moreover, for any  $t \in \mathbb{C}$ , observe that  $(t^3, t^4, t^5) \in V$ . Let  $\varphi : \mathbb{A}^1(\mathbb{C}) \rightarrow \mathbb{A}^3(\mathbb{C})$  be the polynomial map defined by  $t \mapsto (t^3, t^4, t^5)$ . Then  $V = \varphi(\mathbb{A}^1(\mathbb{C}))$  and thus  $V$  is irreducible by Problem 2.7.  $\square$

**2.9.\*** Let  $\varphi : V \rightarrow W$  be a polynomial map of affine varieties, and let  $V' \subset V, W' \subset W$  be subvarieties. Suppose  $\varphi(V') \subset W'$ .

(a) Show that  $\tilde{\varphi}(I_W(W')) \subset I_V(V')$ .

*Proof.* Suppose  $f \in I_W(W')$ . Then  $f(P) = 0$  for all  $P \in \varphi(V') \subset W'$ . Thus  $\tilde{\varphi}(f) \in I_V(V')$ .  $\square$

(b) Show that the restriction of  $\varphi$  gives a polynomial map  $V' \rightarrow W'$ .

*Proof.* The restriction of any polynomial map is a polynomial map by the proof of Proposition 1. Thus since  $\varphi(V') \subset W'$ ,  $\varphi|_{V'}$  is a polynomial map  $V' \rightarrow W'$ .  $\square$

**2.10.\*** Show that the projection map  $\mathbb{A}^n \rightarrow \mathbb{A}^r$  with  $n \geq r$  is a polynomial map.

*Proof.* The projection map is defined by the polynomials  $T_i = X_i$ .  $\square$

**2.11.** Let  $f \in \Gamma(V)$ ,  $V \subset \mathbb{A}^n$  a variety. Define

$$G(f) = \{(a_1, \dots, a_n, a_{n+1}) \in \mathbb{A}^{n+1} \mid (a_1, \dots, a_n) \in V \text{ and } a_{n+1} = f(a_1, \dots, a_n)\},$$

the *graph* of  $f$ . Show that  $G(f)$  is an affine variety, and the map

$$(a_1, \dots, a_n) \mapsto (a_1, \dots, a_n, f(a_1, \dots, a_n))$$

defines an isomorphism of  $V$  with  $G(f)$ .

*Proof.* Let  $\varphi : \mathbb{A}^n \rightarrow G(f)$  be the map defined above. It is immediate that  $\phi$  is a bijection with inverse  $\pi|_{G(f)}$ , where  $\pi : \mathbb{A}^{n+1} \rightarrow \mathbb{A}^n$  is the projection map. Since both are polynomial maps,  $\varphi$  is an isomorphism.  $\square$

**2.12.** (a)  $\varphi : \mathbb{A}^1 \rightarrow V = V(Y^2 - X^3) \subset \mathbb{A}^2$  be defined by  $\varphi(t) = (t^2, t^3)$ . Show that although  $\varphi$  is a one-to-one, onto polynomial map,  $\varphi$  is not an isomorphism.

*Proof.* Observe if  $(a, b) \in V$  the  $a^3 = b^2$ . Since  $k$  is algebraically closed, there exists  $t \in k$  such that  $t^2 = a$ . So  $b^2 = t^6$  and  $b = \pm t^3$ . Thus either  $\varphi(t) = (a, b)$  or  $\varphi(-t) = (a, b)$ . So  $\varphi$  is onto.

Suppose  $t, s \in \mathbb{A}^1$  such that  $\varphi(t) = \varphi(s)$ . Then  $t^2 = s^2$  and  $t^3 = s^3$ . Thus  $t(t^2) = s(s^2) = s(t^2)$ , and  $t = s$ . Therefore  $\varphi$  is one-to-one.

It remains to show that  $\varphi$  is not an isomorphism, that is, its inverse is not a polynomial map. Observe that  $\tilde{\varphi}(f) = f(T^2, T^3)$  for every  $f \in \Gamma(V)$ . Therefore  $\tilde{\varphi}(\Gamma(V)) \subset (T^2, T^3) \subsetneq k[T] = \Gamma(\mathbb{A}^1)$ . Thus  $\tilde{\varphi} : \Gamma(V) \rightarrow \Gamma(\mathbb{A}^1)$  is not an isomorphism. Hence  $\varphi$  is not an isomorphism by Proposition 1.  $\square$

(b) Let  $\varphi : \mathbb{A}^1 \rightarrow V = V(Y^2 - X^2(X - 1))$  be defined by  $\varphi(t) = (t^2 - 1, t(t^2 - 1))$ . Show that  $\varphi$  is one-to-one and onto, except that  $\varphi(\pm 1) = (0, 0)$ .



*Proof.* Let  $(a, b) \in V$ . Then  $b^2 = a^2(a + 1)$ . Let  $t \in k$  such that  $t^2 = a + 1$ . Then  $a = t^2 - 1$ ,  $b = (t^2 - 1)t$ . Therefore  $\varphi(t) = (a, b)$ . So  $\varphi$  is onto.

Suppose  $t, s \in k$  such that  $\varphi(t) = \varphi(s)$ . Then  $(t^2 - 1) = (s^2 - 1)$ . Moreover,  $(t^2 - 1)t = (s^2 - 1)s$ . If  $t^2 - 1 \neq 0$ , then  $t = s$ . If  $t^2 - 1 = 0$ , then  $t = \pm 1$ ,  $s = \pm 1$ . Therefore  $\varphi$  is one-to-one and onto, except that  $\varphi(\pm 1) = (0, 0)$ .  $\square$

**2.13.** Let  $V = V(X^2 - Y^3, Y^2 - Z^3) \subset \mathbb{A}^3$  as in Problem 1.40,  $\bar{\alpha} : \Gamma(V) \rightarrow k[T]$  induced by the homomorphism  $\alpha$  of that problem.

(a) What is the polynomial map  $f$  from  $\mathbb{A}^1$  to  $V$  such that  $\tilde{f} = \bar{\alpha}$ ?

*Proof.* Let  $f : \mathbb{A}^1 \rightarrow \mathbb{A}^3$  be the polynomial map  $(T^9, T^6, T^3)$ . Observe that  $f(\mathbb{A}^1) \subset V$  so  $f : \mathbb{A}^1 \rightarrow V$  is a polynomial map such that  $\tilde{f} = \bar{\alpha}$ .  $\square$

(b) Show that  $f$  is one-to-one and onto, but not an isomorphism.

*Proof.* First note that  $f$  is clearly one-to-one. Suppose  $(a, b, c) \in V$ . Let  $t \in k$  such that  $t^4 = c$ . Then  $b^2 = c^3 = t^{12}$ , so  $b = \pm t^6$ . Moreover,  $a^2 = b^3 = \pm t^{18}$ , so  $a = \pm t^9$ , or  $\pm t^9\sqrt{-1}$ . Therefore  $(a, b, c)$  is either  $(\pm t^9, t^6, t^4)$ , or  $(\pm t^9\sqrt{-1}, -t^6, t^4)$ . Thus  $(a, b, c)$  is equal to either  $f(\pm t)$  or  $f(\pm t\sqrt{-1})$ . So  $f$  is onto.

It remains to show that  $f$  is not an isomorphism. By Proposition 1 it suffices to observe that  $\tilde{f}$  is not an isomorphism, since  $\tilde{f}(\Gamma(V)) \subset (T^9, T^6, T^4) \subsetneq k[T] = \Gamma(\mathbb{A}^1)$ .  $\square$

**Lemma 2.8.** Let  $F \in k[X_1, \dots, X_n]$  be a polynomial of degree 1. Then there exists an affine change of coordinates  $T : \mathbb{A}^n \rightarrow \mathbb{A}^n$  such that  $F^T = X_m$ .

*Proof.* Suppose that  $F = \sum_{i=1}^n a_i X_i + a_0$  with  $a_1, \dots, a_n \in k \setminus \{0\}$ . We will define an affine change of coordinates  $T = T' \circ T''$  with  $T'$  a linear map and  $T''$  a translation. Let  $T''_i = X_i$  for  $i \neq n$  and  $T''_n = X_n - a_0$ . Define  $T'$  by setting  $T'_i = X_i$  for  $i < d$ ,  $T'_d = a_d^{-1} X_d$ , and  $T'_i = a_i^{-1} X_i - a_i^{-1} X_{i-1}$  for  $i > d$ . Observe that  $T'$  is defined by the following matrix in  $k^{n \times n}$ ,

$$T' = \begin{bmatrix} 1 & 0 & 0 & \cdots & \cdots & 0 \\ 0 & a_1^{-1} & 0 & \cdots & \cdots & 0 \\ 0 & -a_2^{-1} & a_2^{-1} & \cdots & \cdots & 0 \\ \vdots & \ddots & \ddots & \ddots & \ddots & \vdots \\ 0 & \cdots & \cdots & -a_{n-1}^{-1} & a_{n-1}^{-1} & 0 \\ 0 & \cdots & \cdots & 0 & -a_n^{-1} & a_n^{-1} \end{bmatrix}$$

Note that  $T'$  is upper triangular, and therefore invertible. So  $T = T' \circ T''$  is an affine change of coordinates. Observe that

$$\begin{aligned}
F^T &= \left( \sum_{i=1}^n a_i(T_i) \right) + a_0 \\
&= \left( \sum_{i=1}^{n-1} a_i(T'_i(X_i)) \right) + a_n(T'_n(X_n - a_0)) + a_0 \\
&= a_1(a_1^{-1}X_1) + \left( \sum_{i=2}^{n-1} a_i(a_i^{-1}X_i - a_i^{-1}X_{i-1}) \right) + a_n(a_n^{-1}(X_n - a_0) - a_n^{-1}X_{n-1}) + a_0 \\
&= X_n.
\end{aligned}$$

□

**2.14.\*** A set  $V \subset \mathbb{A}^n(k)$  called a linear subvariety of  $\mathbb{A}^n(k)$  if  $V = V(F_1, \dots, F_r)$  for some polynomials  $F_i$  of degree 1.

(a) Show that if  $T$  is an affine change of coordinates on  $\mathbb{A}^n$  the  $V^T$  is also a linear subvariety of  $\mathbb{A}^n$ .

*Proof.* Let  $T = (T_1, \dots, T_n)$  be an affine change of coordinates, and  $V = V(F_1, \dots, F_r)$  a linear subvariety of  $\mathbb{A}^n$ . Then  $I(V) = (F_1, \dots, F_r)$ , since  $F_1, \dots, F_r$  are of degree 1. Note that  $F_1^T, \dots, F_r^T$  are also degree 1. So  $I(V)^T = (F_1^T, \dots, F_r^T)$ . therefore  $V^T = V(I(V)^T) = V(F_1^T, \dots, F_r^T)$ . □

(b) If  $V \neq \emptyset$ , show that there is an affine change of coordinates  $T$  of  $\mathbb{A}^n$  such that  $V^T = V(X_{m+1}, \dots, X_n)$ . So  $V$  is a variety.

*Proof.* Suppose  $r = 1$ , that is,  $V = V(F)$ . Let  $F = \sum_{i=1}^n a_i X_i + a_0$ . Let  $T'$  be an affine change of coordinates relabeling variables such that  $F^U = \sum_{i=d+1}^n a_i X_i + a_0$  with  $a_{d+1}, \dots, a_n \in k \setminus \{0\}$ . By Lemma 2.8 there exists an affine change of coordinates  $U$  on  $\mathbb{A}^{n-d}$ , defined by polynomials  $U_d, \dots, U_n \in k[X_d, \dots, X_n]$  such that  $(F^{T'})^U = X_n$ . Let  $T''$  be the affine change of coordinates defined by  $T_i = X_i$  if  $i < d$  and  $T_i = U_i$  if  $i \geq d$ . Let  $I_d$  be the  $d \times d$  identity matrix and observe that  $T''$  is represented by the following upper triangular matrix

$$T'' = \begin{bmatrix} I_d & 0 \\ 0 & U \end{bmatrix}$$

Thus  $T''$  is invertible and  $T = T'' \circ T'$  is an affine change of coordinates such that  $F^T = X_n$ . Thus  $V^T = V(X_n)$ .

Let  $r \geq 1$ . Suppose for any  $F_1, \dots, F_r \in k[X_1, \dots, X_n]$  there exists affine change of coordinates  $T$  such that  $V(F_1, \dots, F_r)^T = V(X_{m+1}, \dots, X_n)$  for some  $m \geq 0$ . Let  $F_1, \dots, F_{r+1} \in k[X_1, \dots, X_n]$  and  $V = V(F_1, \dots, F_{r+1})$ . By the inductive hypothesis there exists affine change of coordinates  $T'$  such that  $V(F_1, \dots, F_r)^{T'} = V(X_{m+1}, \dots, X_n)$ . Therefore  $V^{T'} = V(F_{r+1}^{T'}, X_{m+1}, \dots, X_n)$ . If  $F_{r+1}^{T'} \in (X_{m+1}, \dots, X_n)$  we are done. Otherwise, let us select  $G \in k[X_1, \dots, X_m]$  such that

$$F_{r+1}^{T'} + (X_{m+1}, \dots, X_n) = G + (X_{m+1}, \dots, X_n).$$

Then  $V^{T'} = V(G, X_{m+1}, \dots, X_n)$ . By the same method as the base case, we may construct an affine change of coordinates  $T''$  on  $\mathbb{A}^n$ , such that  $G^{T''} = X_m$  and  $X_i^{T''} = X_i$  for all  $i > m$ . Thus if we define  $T = T'' \circ T'$ , then  $V^T = V(X_m, \dots, X_n)$ .  $\square$

(c) Show that the  $m$  appearing in part (b) is independent of the choice of  $T$ . Thus  $V$  is isomorphic to  $\mathbb{A}^m(k)$ .

*Proof.* Suppose there exist change of coordinates  $T, U$  such that  $V^T = V(X_{m+1}, \dots, X_n)$  and  $V^U = V(X_{d+1}, \dots, X_n)$ . Observe that  $T|_{V^T} : V^T \rightarrow V$  and  $U|_{V^U} : V^U \rightarrow V$  are each isomorphisms. So

$$k[X_1, \dots, X_m] \cong \Gamma(V^T) \cong \Gamma(V) \cong \Gamma(V^U) \cong k[X_1, \dots, X_d].$$

Therefore  $m = d$ . Moreover,  $\Gamma(\mathbb{A}^m) \cong k[X_1, \dots, X_m] \cong \Gamma(V)$ .  $\square$

**Lemma 2.9.** Let  $T$  be an affine change of coordinates on  $\mathbb{A}^n$  and  $S \subset k[X_1, \dots, X_n]$ . If  $I(S) \neq I(S \setminus \{F_i\})$  for some  $i \in \{1, \dots, r\}$ , then  $I(S)^T \neq I(S \setminus \{F_i\})^T$ .

*Proof.* Suppose  $G \in I(S)$ . Observe that if  $\tilde{T}(G) \in I(S \setminus \{F_i\})^T$ , then  $\tilde{T}^{-1}(\tilde{T}(G)) = G \in I(S \setminus \{F_i\})$ .  $\square$

**Definition 2.10.** Let  $R$  be a ring,  $A \subset R$ , and  $I = I(A)$ . We say  $A$  is a *minimal generating set* for  $I$  if for all  $B \subset I$  such that  $I = I(B)$ ,  $|B| \geq |A|$ .

**Lemma 2.11.** Suppose  $V = V(F_1, \dots, F_r)$  is a linear subvariety of  $\mathbb{A}^n$ . If  $\{F_1, \dots, F_r\}$  is a minimal generating set for  $I(V)$ , then  $V$  has dimension  $n - r$ .

*Proof.* By Problem 2.14 there exists an affine change of coordinates  $T$  on  $\mathbb{A}^n$  such that  $V^T = V(X_{m+1}, \dots, X_n)$ . Also,  $V^T = V(I(V)^T) = V(F_1^T, \dots, F_r^T)$ . Since  $\{F_1, \dots, F_r\}$  is a

minimal generating set for  $I(V)$ , by Lemma 2.9,  $\{F_1^T, \dots, F_r^T\}$  is a minimal generating set for  $I(V)^T$ . Therefore  $n - (m + 1) + 1 = r$  and  $m = n - r$ .  $\square$

**2.15.\*** Let  $P = (a_1, \dots, a_n)$ ,  $Q = (b_1, \dots, b_n)$  be distinct points in  $\mathbb{A}^n$ . The line through  $P$  and  $Q$  is defined to be  $L = \{(a_1 + t(b_1 - a_1), \dots, a_n + t(b_n - a_n)) \mid t \in k\}$ .

(a) Show that if  $L$  is the line through  $P, Q$  and  $T$  is an affine change of coordinates, then  $T(L)$  is the line through  $T(P), T(Q)$ .

*Proof.* Let  $T_i = \sum_{j=1}^n c_{i,j} X_j + c_{i,0}$ . Note that  $T_i(P) = \sum_{j=1}^n c_{i,j} a_j + c_{i,0}$  and  $T_i(Q) = \sum_{j=1}^n c_{i,j} b_j + c_{i,0}$ . Let  $M$  be the line between  $T(P)$  and  $T(Q)$ . Let  $t \in k$ . Let

$$O = \left( \sum_{j=1}^n c_{1,j} (a_j + t(b_j - a_j)) + c_{1,0}, \dots, \sum_{j=1}^n c_{n,j} (a_j + t(b_j - a_j)) + c_{n,0} \right) \in M$$

Let  $O' = (a_1 + t(b_1 - a_1), \dots, a_n + t(b_n - a_n)) \in L$ . Observe  $T(O') = O$  and thus  $T$  is a bijection between  $L$  and  $T(L) = M$ .  $\square$

(b) Show that a line is a linear subvariety of dimension 1, and that a linear subvariety of dimension 1 is the line through any two of its points.

*Proof.* Let  $L$  be the line between  $P$  and  $Q$  defined above. Observe that  $(X_1, \dots, X_n) \in L$  if and only if there exists  $t \in k$  such that  $X_i = a_i + t(b_i - a_i)$  every  $i$ . Since  $P, Q$  are distinct points, there must exist  $i \in \{1, \dots, n\}$  such that  $a_i \neq b_i$ . Therefore we may solve for  $t$  and find  $t = \frac{X_i - a_i}{b_i - a_i}$ . So  $(X_1, \dots, X_n) \in L$  if and only if  $F_j = (b_i - a_i)(X_j - a_j) - (b_j - a_j)(X_i - a_i) = 0$  for all  $j \neq i$ . Therefore  $L = V(\{F_j \mid j \in \{1, \dots, n\} \setminus \{i\}\})$ . Thus  $L$  is a linear subvariety of  $\mathbb{A}^n$ . Observe that  $\{F_j \mid j \in \{1, \dots, n\} \setminus \{i\}\}$  is a minimal generating set (Definition 2.10) for  $I(L)$ . Therefore, by Lemma 2.11,  $L$  has dimension 1.

Suppose  $V$  is a linear subvariety of dimension 1. Then there exists an affine change of coordinates  $T$  such that  $V^T = V(X_2, \dots, X_n)$ . Note that  $V^T$  is the line between  $P = (0, 0, \dots, 0)$  and  $Q = (1, 0, \dots, 0)$ . Thus, by part (a),  $T(V^T) = V$  is the line between  $T(P)$  and  $T(Q)$ .  $\square$

(c) Show that in  $\mathbb{A}^2$ , a line is the same thing as a hyperplane.

*Proof.* By Lemma 2.11, in  $\mathbb{A}^2$  a variety has dimension 1 if and only if it is a hyperplane. Thus, by part (b), a line is the same thing as a hyperplane.  $\square$

(d) Let  $P, P' \in \mathbb{A}^2$ ,  $L_1, L_2$  two distinct lines through  $P$ ,  $L'_1, L'_2$  distinct lines through  $P'$ . Show that there is an affine change of coordinates  $T$  of  $\mathbb{A}^2$  such that  $T(P) = P'$  and  $T(L_i) = L'_i$ ,  $i = 1, 2$ .

*Proof.* Let  $U_P, U_{P'}$  be the translations sending  $P, P'$  to the origin. Let  $v_1, v_2$  be unit vectors along  $U_P(L_1), U_P(L_2)$ . Similarly let  $u_1, u_2$  be unit vectors along  $U_{P'}(L'_1), U_{P'}(L'_2)$ . Note that  $\{v_1, v_2\}$  and  $\{u_1, u_2\}$  are each a basis for  $\mathbb{A}^2$ . Let  $T'$  be the linear map defined by  $v_i \mapsto u_i$ . Then  $T = U_{P'}^{-1} \circ T' \circ U_P$  is an affine change of coordinates such that  $T(P) = P'$  and  $T(L_i) = L'_i$ ,  $i = 1, 2$ .  $\square$

**Lemma 2.12.** Let  $V$  be an algebraic set in  $\mathbb{A}^n$ , and  $L$  a linear subvariety of dimension 1. Then either  $L \subset V$  or  $V \cap L$  is finite.

*Proof.* Suppose  $L = V(X_2, \dots, X_n)$ . Let  $F \in I(V)$ . Note that  $V \subset V(F)$ . Observe that the set  $V(F) \cap L$  is the set of solutions to  $F(X_1, 0, \dots, 0)$ , a polynomial of one variable. Thus either  $F(X_1, 0, \dots, 0) = 0$  and  $F \in I(L)$ , or  $V(F) \cap L$  is finite.

Suppose  $L$  is any linear subvariety of dimension 1. By 2.14(b), there exists an affine change of coordinates  $T$  such that  $L^T = V(X_2, \dots, X_n)$ . So by the result above, either  $L^T \subset V^T$  or  $V^T \cap L^T$  is finite. Thus  $L \subset V$  or  $V \cap L$  is finite.  $\square$

**Note.** The lemma above also has a proof using Corollary 4 of the Nullstellensatz, by showing that  $k[X_1, \dots, X_n]/I(V \cap L)$  is a finite dimensional vector space over  $k$ .

**2.16.** Give  $\mathbb{A}^n(\mathbb{C}) = \mathbb{C}^n$  the usual topology.

(a) Show that  $\mathbb{C}^n \setminus S$  is path-connected for any finite set  $S$ .

*Proof.* Let  $P, Q \in \mathbb{C}^n \setminus S$  be distinct points. Let  $R$  be the set of all points on lines between  $P$  or  $Q$  and a point in  $S$ , lines in  $\mathbb{R}^{2n}$ , not dimension 1 linear subvarieties of  $\mathbb{A}^n(\mathbb{C})$ . Since  $S$  is finite,  $R \neq \mathbb{C}^n$ . Therefore we may pick a point  $O \in \mathbb{C}^n \setminus R$ . Observe that neither the line segment from  $P$  to  $O$  nor the line segment from  $O$  to  $Q$  contain a point in  $S$ . Thus there is a path from  $P$  to  $Q$  in  $\mathbb{C}^n \setminus S$ .  $\square$

(b) Let  $V$  be an algebraic set in  $\mathbb{A}^n(\mathbb{C})$ . Show that  $\mathbb{A}^n(\mathbb{C}) \setminus V$  is path connected.

*Proof.* If  $V = \mathbb{A}^n(\mathbb{C})$  then  $\mathbb{A}^n(\mathbb{C}) \setminus V = \emptyset$  and is therefore path connected. Suppose  $V \subsetneq \mathbb{A}^n(\mathbb{C})$ . Let  $P, Q \in \mathbb{A}^n(\mathbb{C}) \setminus V$ ,  $P \neq Q$ , and let  $L$  be the line between  $P$  and  $Q$ , in the sense of linear subvariety of dimension 1. By Lemma 2.12,  $V \cap L$  is finite. Moreover,  $L$  is isomorphic to  $\mathbb{A}^1(\mathbb{C})$ . Note that polynomial maps are continuous with respect to the topology on  $\mathbb{C}^n$ ,

so  $L$  is in fact homeomorphic to  $\mathbb{A}^1(\mathbb{C})$ . Therefore  $L \setminus V$  is homeomorphic to  $\mathbb{C}$  with a finite set removed. Thus  $L \setminus V$  is path connected by part (a). Therefore  $\mathbb{A}^n(\mathbb{C}) \setminus V$  is path connected.  $\square$

**2.17.** Let  $V = V(Y^2 - X^2(X + 1)) \subset \mathbb{A}^2$ , and  $\overline{X}, \overline{Y}$  the residues of  $X, Y$  in  $\Gamma(V)$ ; let  $z = \overline{X}/\overline{Y} \in k(V)$ . Find the pole sets of  $z$  and  $z^2$ .

The pole set of  $z$  is  $\{(0, 0)\}$ .

*Proof.* First observe that  $z = \overline{Y}/\overline{X} = \overline{Y}/\overline{X} = \overline{Y^2}/\overline{XY} = \overline{X(X + 1)}/\overline{Y}$ . Therefore  $z$  is clearly defined at all points  $(x, y)$  where  $x \neq 0$  or  $y \neq 0$ . It remains to show that  $z$  is not defined at  $(0, 0)$ .

Suppose  $\overline{F}/\overline{G} = \overline{Y}/\overline{X}$  for some  $F, G \in k[X, Y]$ . Then  $\overline{YG} = \overline{XF}$  and  $YG - XF \in (Y^2 - X^2(X + 1))$ . So  $YG - XF = H(Y^2 - X^2(X + 1))$  for some  $H \in k[X, Y]$ . Hence  $Y(G - HY) = X(F - HX(X + 1))$ . So  $G - HY \in (X)$ . Therefore  $0 = G(0, 0) - (HY)(0, 0) = G(0, 0)$ .  $\square$

The pole set of  $z^2$  is empty.

*Proof.* Observe that  $z = \overline{Y}^2/\overline{X}^2 = \overline{X^2(X + 1)}/\overline{X}^2 = \overline{X + 1}$ .  $\square$

**2.18.** Let  $\mathcal{O}_P(V)$  be the local ring of a variety  $V$  at a point  $P$ . Show that there is a natural one-to-one correspondence between the prime ideals in  $\mathcal{O}_P(V)$  and the subvarieties of  $V$  that pass through  $P$ .

*Proof.* It suffices to show that there is a one-to-one correspondence between prime ideals in  $\mathcal{O}_P(V)$  and prime ideals in  $\Gamma(V)$  containing  $I(P)$ .

Note from Proposition 3 that an ideal  $I \subset \mathcal{O}_P(V)$  is generated by  $I \cap \Gamma(V)$ . Thus if  $I$  is prime, then  $J = I(I \cap \Gamma(V))$  is a prime ideal in  $\Gamma(V)$ .

Suppose  $J \subset \Gamma(V)$  is a prime ideal,  $I(P) \subset J$ . Note that  $I(J)$ , the ideal generated by  $J$  in  $\mathcal{O}_P(V)$ , is a proper ideal since  $J$  does not contain any units. Suppose  $a/b, c/d \in \mathcal{O}_P(V)$  such that  $(ac)/(bd) \in I(J)$ . Since  $1/b, 1/d, 1/(bd)$  are units, they are not in  $I(J)$ . Thus  $ac \in I(J)$  and therefore  $ac \in J$ . So  $a$  or  $c$  must be in  $J \subset I(J)$ . Thus  $I(J)$  is prime.  $\square$

**2.19.** Let  $f$  be a rational function on a variety  $V$ . Let  $U = \{P \in V \mid f \text{ is defined at } P\}$ . Then  $f$  defines a function  $U$  to  $k$ . Show that this function determines  $f$  uniquely.

*Proof.* Suppose  $f = a/b = c/d$ ,  $a, b, c, d \in \Gamma(V)$ . Observe that for all  $P \in U$ ,  $f(P)$ ,  $f(P)b(P)d(P) = a(P)d(P) = c(P)b(P)$ . Moreover, for all  $P \in V \setminus U$ ,  $0 = a(P)d(P) = c(P)b(P)$ . Therefore  $ad = cb$ . So  $a/b = c/d$ .  $\square$

**2.20.** Let  $V$  and  $f$  be as in the example given in this section.

Show that the pole set of  $f$  is exactly  $\{(x, y, z, w) \mid y = 0 \text{ and } w = 0\}$ .

*Proof.* Clearly  $f$  is defined everywhere  $y \neq 0$  or  $w \neq 0$ . It remains to show that  $f$  is not defined when  $y = w = 0$ .

Suppose  $f = \overline{F}/\overline{G}$ . Then  $\overline{XG} = \overline{YF}$  and  $XG - YF \in (XW - YZ)$ . Thus  $XG - YF = H(XW - YZ)$  and  $X(G - HW) = Y(F - HZ)$ ,  $H \in k[X, Y, Z, W]$ . So  $G - HW \in (Y)$ . Let  $P = (x, 0, z, 0)$ ,  $x, z \in k$ . Observe that  $G(P) - (HW)(P) = 0$ . Since  $(HW)(P) = H(P)(0) = 0$ ,  $G(P) = 0$ . Thus  $f$  is not defined at  $P$  for any choice of representative.  $\square$

Show that it is impossible to write  $f = a/b$  where  $a, b \in \Gamma(V)$ , and  $b(P) \neq 0$  for every  $P$  where  $f$  is defined.

*Proof.* Suppose  $f = \overline{F}/\overline{G}$  such that  $\overline{F}/\overline{G}$  is defined at all points  $f$  is defined. By the same argument above,  $G - HW \in (Y)$ . So if  $Y = 0$ ,  $G = HW$ . If  $W = 0$ , then  $G = AY$ ,  $A \in k[X, Y, Z, W]$ . So  $G = AY + HW$ .

Since  $\overline{F}/\overline{G}$  is defined at all points  $f$  is defined,  $V(G) \cap V$  is the pole set of  $f$ . Thus  $I(V(G) \cap V) = (Y, W)$ , since  $(Y, W)$  is the ideal of the pole set. However, for any choice of  $A, H$ , observe that  $I(V(G) \cap V) = (AY + HW, XW - YZ) \neq (Y, W)$ , a contradiction.  $\square$

**2.21.\*** Let  $\varphi : V \rightarrow W$  be a polynomial map of affine varieties,  $\tilde{\varphi} : \Gamma(W) \rightarrow \Gamma(V)$  the induced map on coordinate rings. Suppose  $P \in V$ ,  $\varphi(P) = Q$ . Show that  $\tilde{\varphi}$  extends uniquely to a ring homomorphism (also written  $\tilde{\varphi}$ ) from  $\mathcal{O}_Q(W)$  to  $\mathcal{O}_P(V)$ . (Note that  $\tilde{\varphi}$  may not extend to all of  $k(W)$ .) Show that  $\tilde{\varphi}(\mathfrak{m}_Q(W)) \subset \mathfrak{m}_P(V)$ .

*Proof.* Suppose  $\psi : \mathcal{O}_Q(W) \rightarrow \mathcal{O}_P(V)$  is a homomorphism such that  $\psi(a) = \tilde{\varphi}(a)$  for any  $a \in \Gamma(W)$ . If  $a$  is a unit in  $\mathcal{O}_Q(W)$  then  $\psi(a^{-1}) = \psi(a)^{-1} = \tilde{\varphi}(a)^{-1}$ . So for any  $a/b \in \mathcal{O}_Q(W)$ ,  $\psi(a/b) = \tilde{\varphi}(a)/\tilde{\varphi}(b)$ . Therefore  $\tilde{\varphi}$  extends uniquely to a homomorphism  $\mathcal{O}_Q(W) \rightarrow \mathcal{O}_P(V)$ .

Suppose  $f \in \mathfrak{m}_Q(W)$ . Then  $\tilde{\varphi}(f)(P) = f(Q) = 0$ . So  $\tilde{\varphi}(\mathfrak{m}_Q(W)) \subset \mathfrak{m}_P(V)$ .  $\square$

**Lemma 2.13.** Suppose  $W$  is a subvariety of a variety  $V$ , and  $P$  is a point in  $W$ . Then the natural homomorphism  $\pi : \Gamma(V) \rightarrow \Gamma(W)$  extends uniquely to a homomorphism  $\mathcal{O}_P(V) \rightarrow \mathcal{O}_P(W)$ .

*Proof.* Note that  $\pi : \Gamma(V) \rightarrow \Gamma(W)$  is the homomorphism induced by the inclusion polynomial map  $W \hookrightarrow V$ . Thus by Problem 2.21,  $\pi$  extends uniquely to a homomorphism  $\mathcal{O}_P(V) \rightarrow \mathcal{O}_P(W)$ .  $\square$

**2.22.\*** Let  $T : \mathbb{A}^n \rightarrow \mathbb{A}^n$  be an affine change of coordinates,  $T(P) = Q$ . Show that  $\tilde{T} : \mathcal{O}_Q(\mathbb{A}^n) \rightarrow \mathcal{O}_P(\mathbb{A}^n)$  is an isomorphism. Show that  $\tilde{T}$  induces an isomorphism  $\mathcal{O}_Q(V) \rightarrow \mathcal{O}_P(V)$  if  $P \in V^T$ , for  $V$  a subvariety of  $\mathbb{A}^n$ .

*Proof.* Since  $\tilde{T} : \Gamma(\mathbb{A}^n) \rightarrow \Gamma(\mathbb{A}^n)$  is an isomorphism, it extends uniquely to an isomorphism  $\tilde{T} : \mathcal{O}_Q(\mathbb{A}^n) \rightarrow \mathcal{O}_P(\mathbb{A}^n)$ , by Problem 2.21.

Let  $\pi_V : \mathcal{O}_Q(\mathbb{A}^n) \rightarrow \mathcal{O}_Q(V)$  and  $\pi_{V^T} : \mathcal{O}_P(\mathbb{A}^n) \rightarrow \mathcal{O}_P(V^T)$  be the natural homomorphisms (see Lemma 2.13). Observe that  $\ker(\pi_{V^T} \circ \tilde{T}) \subset \mathcal{O}_Q(\mathbb{A}^n)$  is equal to the ideal generated by  $\ker(\pi_{V^T} \circ \tilde{T}) \cap \Gamma(\mathbb{A}^n)$ , similarly for  $\ker \pi_V$ . Thus since  $\ker \pi_V \cap \Gamma(\mathbb{A}^n) = \ker(\pi_{V^T} \circ \tilde{T}) \cap \Gamma(\mathbb{A}^n)$ ,  $\ker \pi_V = \ker(\pi_{V^T} \circ \tilde{T})$ . So  $\pi_{V^T} \circ \tilde{T}$  descends, by Lemma 1.2, to an isomorphism  $\mathcal{O}_Q(V) \rightarrow \mathcal{O}_P(V^T)$ .  $\square$

**2.23.\*** Show that the order function on  $K$  is independent of the choice of uniformizing parameter.

*Proof.* Suppose  $t, s$  are uniformizing parameters on  $R$ . Note that  $s = ut$ , with  $u$  a unit. Let  $z \in K$ . Observe that  $z = vt^n$ ,  $n \in \mathbb{Z}$ ,  $v$  a unit in  $R$ . So  $z = vu^{-n}(ut)^n = vu^{-n}s^n$ .  $\square$

**2.24.\*** Let  $V = \mathbb{A}^1$ ,  $\Gamma(V) = k[X]$ ,  $K = k(V) = k(X)$ .

(a) For each  $a \in k = V$ , show that  $\mathcal{O}_a(V)$  is a DVR, with uniformizing parameter  $t = X - a$ .

*Proof.* Recall that all local rings of points are Noetherian and local. Moreover,  $\mathfrak{m}_a(V) = (X - a)$ , so the maximal ideal of  $\mathfrak{m}_a(V)$  is principal with generator  $X - a$ .  $\square$

(b) Show that  $\mathcal{O}_\infty = \{F/G \in k(X) \mid \deg(G) \geq \deg(F)\}$  is also a DVR, with uniformizing parameter  $t = 1/X$ .

*Proof.* Observe that  $F/G \in \mathcal{O}_\infty$  is a unit if and only if  $\deg(F) = \deg(G)$ . Let  $F/G \in \mathcal{O}_\infty$ ,  $n = \deg(G) - \deg(F)$ . Then  $(1/X^n)(FX^n/G) = F/G$  and  $FX^n/G$  is a unit. Therefore  $1/X$  is a uniformizing parameter for  $\mathcal{O}_\infty$ .  $\square$

**2.25.** Let  $p \in \mathbb{Z}$  be a prime number. Show  $\{r \in \mathbb{Q} \mid r = a/b, a, b \in \mathbb{Z}, p \nmid b\}$  is a DVR with quotient field  $\mathbb{Q}$ .



*Proof.* Let  $r = a/b \in \mathbb{Q}$  such that  $p \nmid b$ . Let  $c \in \mathbb{Z}$  such that  $a = p^n c$ ,  $n \geq 0$ ,  $p \nmid c$ . Then  $r = p^n c/b$  and  $c/b$  is a unit. Thus the set is a DVR with uniformizing parameter  $p$ . Moreover, the quotient field is  $\{p^n a/b \mid n, a, b \in \mathbb{Z}, p \nmid a, p \nmid b\} = \mathbb{Q}$ .  $\square$

**2.26.\*** Let  $R$  be a DVR with quotient field  $K$ ; let  $\mathfrak{m}$  be the maximal ideal of  $R$ .

(a) Show that if  $z \in K$ ,  $z \notin R$ ,  $z^{-1} \in \mathfrak{m}$ .

*Proof.* We may write  $z = ut^n$ , with  $u$  a unit and  $(t) = \mathfrak{m} \subset R$ . Since  $z \notin R$ ,  $n < 0$ . Therefore  $z^{-1} = u^{-1}z^{-n} \in R$ .  $\square$

(b) Suppose  $R \subset S \subset K$ , and  $S$  is also a DVR. Suppose the maximal ideal of  $S$  contains  $\mathfrak{m}$ . Show that  $S = R$ .

*Proof.* Let  $\mathfrak{m}' = (s)$  be the maximal ideal of  $S$ . Suppose  $s \in R$ . Then since  $\mathfrak{m} \subset \mathfrak{m}'$ ,  $\mathfrak{m}' = \mathfrak{m}$  and  $S = R$ . Suppose  $s \notin R$ . Then by part (a),  $s^{-1} \in R \subset S$  and  $s$  is a unit in  $S$ , a contradiction.  $\square$

**2.27.** Show that the DVR's of Problem 2.24 are the only DVR's with quotient field  $k(X)$  that contain  $k$ .

*Proof.* Suppose  $R \supset k$  is a DVR with field of fractions  $k(X)$ . Let  $(t) = \mathfrak{m} \subset R$  be the maximal ideal of  $R$ . Observe that we may treat  $R$  as a subring of its field of fractions  $k(X)$ .

Suppose  $X \in R$ . Then the inclusion map  $i : k[X] \hookrightarrow R$  is a natural injective homomorphism. Moreover,  $i^{-1}(\mathfrak{m})$  is either  $k[X]$  or a maximal ideal of  $k[X]$  by Problem 1.22. Thus  $\mathfrak{m} = (X - a)$  for some  $a \in k$ .

Suppose  $X \notin R$ . Then  $X = ut^{-n}$  for some  $n > 0$ . Since  $X$  is not a square,  $n = 1$ . Therefore  $1/X = ut$  and  $\mathfrak{m} = (1/X)$ .  $\square$

Show that those of Problem 2.25 are the only DVR's with quotient field  $\mathbb{Q}$ .

*Proof.* Suppose  $R$  is a DVR with field of fractions  $\mathbb{Q}$ . Observe that  $\mathbb{Z} \subset R \subset \mathbb{Q}$ . Thus the inclusion map  $i : \mathbb{Z} \rightarrow R$  is a natural injective homomorphism. So  $i^{-1}(\mathfrak{m})$  is a maximal ideal in  $\mathbb{Z}$ . Thus  $\mathfrak{m} = (p)$  for some prime  $p$ .  $\square$

**2.28.\*** An *order function* on a field  $K$  is a function  $\varphi$  from  $K$  onto  $\mathbb{Z} \cup \{\infty\}$ , satisfying:

1.  $\varphi(a) = \infty$  if and only if  $a = 0$ .

2.  $\varphi(ab) = \varphi(a) + \varphi(b)$ .
3.  $\varphi(a + b) \geq \min(\varphi(a), \varphi(b))$ .

Show that  $R = \{z \in K \mid \varphi(z) \geq 0\}$  is a DVR with maximal ideal  $\mathfrak{m} = \{z \mid \varphi(z) > 0\}$ , and quotient field  $K$ . Conversely, show that if  $R$  is a DVR with quotient field  $K$ , then the function  $\text{ord} : K \rightarrow \mathbb{Z} \cup \{\infty\}$  is an order function on  $K$ . Giving a DVR with a quotient field  $K$  is equivalent to defining an order function on  $K$ .

*Proof.* Suppose  $\varphi$  is an order function on a field  $K$  and  $R$  is the set defined above. Observe that  $\varphi(1) = \varphi(1 \cdot 1) = \varphi(1) + \varphi(1)$ . Thus  $\varphi(1) = 0$ . Similarly  $\varphi(-1) = 0$ . Thus for any  $a \in K$ ,  $\varphi(a) = \varphi(-a)$ . Thus  $R$  is closed under multiplication and addition. Moreover,  $a$  is a unit in  $R$ , that is  $a^{-1} \in R$ , if and only if  $\varphi(a) = 0$ .

Next we will show that  $\mathfrak{m}$  is an ideal. Let  $a, b \in \mathfrak{m}$ . Observe that  $\varphi(a-b) \geq \min(\varphi(a), \varphi(b)) > 0$ . So  $\mathfrak{m}$  is an additive group. Moreover, if  $a \in \mathfrak{m}, r \in R$ , then  $\varphi(ar) > \varphi(a)$ . So  $ar \in \mathfrak{m}$  and  $\mathfrak{m}$  is an ideal.

It remains to show that  $\mathfrak{m}$  is principal. If  $\mathfrak{m} = \{0\}$  then we are done. Suppose  $\mathfrak{m} \neq \{0\}$ . Then  $\phi(\mathfrak{m} \setminus \{0\}) \neq \emptyset$  and, by the well ordering principle, we may select  $p \in \mathfrak{m} \setminus \{0\}$  such that for all  $a \in \mathfrak{m}$ ,  $\varphi(p) \leq \varphi(a)$ . Then for  $a \in \mathfrak{m}$ ,  $\varphi(ap^{-1}) \geq 0$ . So  $a = p(ap^{-1})$  and  $p \mid a$ . Thus  $\mathfrak{m} = (p)$ . So  $R$  is a DVR.

Converseley suppose that  $R$  is a DVR with quotient field  $K$ . It is immediate that  $\text{ord}$  satisfies (i) and (ii). To see that  $\text{ord}$  satisfies (iii), see Problem 2.29(a).  $\square$

**2.29.\*** Let  $R$  be a DVR with quotient field  $K$ ,  $\text{ord}$  the order function on  $K$ .

(a) If  $\text{ord}(a) < \text{ord}(b)$ , show that  $\text{ord}(a + b) = \text{ord}(a)$ .

*Proof.* Let  $t$  be the uniformizing parameter for  $R$ . Then  $a = ut^n, b = vt^m$  with  $n, m \in \mathbb{Z}$  and  $u, v$  units of  $R$ . Suppose without loss of generality that  $n \leq m$ . Then  $a + b = t^n(u + vt^{m-n})$  and  $\text{ord}(a + b) = n = \text{ord}(a)$ .  $\square$

(b) If  $a_1, \dots, a_n \in K$ , and for some  $i$ ,  $\text{ord}(a_i) < \text{ord}(a_j)$  for all  $j \neq i$ , then  $a_1 + \dots + a_n \neq 0$ .

*Proof.* It suffices to show that for any  $\text{ord}(a + 1 + \dots + a_n) = \min_{1 \leq i \leq n}(\text{ord}(a_i))$ . If  $n = 2$ , the statement follows from part (a). Suppose that for  $n \geq 2$ ,  $\text{ord}(a_1 + \dots + a_n) = \min_{1 \leq i \leq n}(\text{ord}(a_i)) = m \in \mathbb{Z} \cup \{\infty\}$ . Let  $a_{n+1} \in K$ . By part (a),  $\text{ord}(a_1 + \dots + a_{n+1}) = \min(m, \text{ord}(a_{n+1})) = \min_{1 \leq i \leq n+1}(\text{ord}(a_i))$ .  $\square$

**2.30.\*** Let  $R$  be a DVR with maximal ideal  $\mathfrak{m}$ , and quotient field  $K$ . Suppose  $k$  is a subfield of  $R$ , and that the composition  $k \rightarrow R \rightarrow R/\mathfrak{m}$  is an isomorphism.

(a) For any  $z \in R$ , show that there is a unique  $\lambda \in k$  such that  $z - \lambda \in \mathfrak{m}$ .

*Proof.* Let  $z \in R$ . Let  $\pi : R \rightarrow R/\mathfrak{m}$  be the natural homomorphism. Since the composition  $k \rightarrow R \rightarrow R/\mathfrak{m}$  is an isomorphism, there is a unique  $\lambda \in k$  such that  $\pi(z) = \pi(\lambda)$ , that is,  $z - \lambda \in \mathfrak{m}$ .  $\square$

(b) Let  $t$  be the uniformizing parameter for  $R$ ,  $z \in R$ . Show that for any  $n \geq 0$  there are unique  $\lambda_0, \dots, \lambda_n \in k$  and  $z_n \in R$  such that  $z = \lambda_0 + \lambda_1 t + \lambda_2 t^2 + \dots + \lambda_n t^n + z_n t^{n+1}$ .

*Proof.* Let  $z \in R$ . By part (a) there exists a unique  $\lambda_0 \in k$  such that  $z - \lambda_0 \in \mathfrak{m}$ , that is,  $z = \lambda_0 + z_0 t$  for some  $z_0 \in R$ . So the statement holds for  $n = 0$ .

Suppose for  $n \geq 0$  that  $z = \lambda_0 + \dots + \lambda_n t^n + z_n t^{n+1}$ . By part (a) there exists  $\lambda_{n+1} \in k$ ,  $z_{n+1} \in R$  such that  $z_n = \lambda_{n+1} + z_{n+1} t$ . Thus  $z = \lambda_0 + \dots + \lambda_n t^n + \lambda_{n+1} t^{n+1} + z_{n+1} t^{n+2}$ .

It remains to show uniqueness. Suppose  $z = \lambda_0 + \dots + \lambda_n t^n + z_n t^{n+1} = \sigma_0 + \dots + \sigma_n t^n + w_n t^{n+1}$ , with  $\lambda_i, \sigma_i \in k$  for each  $i$ , and  $z_n, w_n \in R$ . Then  $0 = (\lambda_0 - \sigma_0) + \dots + (\lambda_n - \sigma_n) t^n + (z_n - w_n) t^{n+1}$ . By 2.29b,  $\lambda_i - \sigma_i = 0$  for each  $i$ , and  $z_n - w_n = 0$ .  $\square$

**2.31.** Let  $k$  be a field. The ring of formal power series over  $k$ , written  $k[[X]]$ , is defined to be  $\{\sum_{i=0}^{\infty} a_i X^i \mid a_i \in k\}$ . Define the sum by  $\sum a_i X^i + \sum b_i X^i = \sum (a_i + b_i) X^i$ , and the product  $(\sum a_i X^i)(\sum b_i X^i) = \sum c_i X^i$ , where  $c_i = \sum_{j+k=i} a_j b_k$ . Show that  $k[[X]]$  is a ring containing  $k[X]$  as a subring.

*Proof.* It is simple to verify that  $k[[X]]$  is an additive group with additive identity 0, and  $-\sum a_i X^i = \sum (-a_i) X^i$ . Note that multiplication is a well defined binary operation on  $k[[X]]$  with identity 1. It remains to show that multiplication is associative and distributes over addition. To show associativity observe that

$$\begin{aligned} \left( \sum a_i X^i \right) \left( \left( \sum b_i X^i \right) \left( \sum c_i X^i \right) \right) &= \left( \sum a_i X^i \right) \left( \sum \left( \sum_{j+k=i} b_j c_k \right) X^i \right) \\ &= \left( \sum \left( \sum_{j+k=i} a_j \left( \sum_{l+d=k} b_l c_d \right) \right) X^i \right) \\ &= \left( \sum \left( \sum_{j+l+d=i} a_j b_l c_d \right) X^i \right) \end{aligned}$$

$$\begin{aligned}
&= \left( \sum \left( \sum_{j+k=i} c_j \left( \sum_{l+d=k} a_l b_d \right) \right) X^i \right) \\
&= \left( \sum \left( \sum_{j+k=i} a_j b_k \right) X^i \right) \left( \sum c_i X^i \right) \\
&= \left( \left( \sum a_i X^i \right) \left( \sum b_i X^i \right) \right) \left( \sum c_i X^i \right).
\end{aligned}$$

To show multiplication distributes observe that

$$\begin{aligned}
\left( \sum a_i X^i \right) \left( \sum b_i X^i + \sum c_i X^i \right) &= \sum \left( \sum_{k=0}^i a_k (b_{i-k} + c_{i-k}) \right) X^i \\
&= \sum \left( \sum_{k=0}^i (a_k b_{i-k} + a_k c_{i-k}) \right) X^i \\
&= \sum \left( \sum_{k=0}^i a_k b_{i-k} X^i + \sum_{k=0}^i a_k c_{i-k} X^i \right) \\
&= \sum \left( \sum_{k=0}^i a_k b_{i-k} \right) X^i + \sum \left( \sum_{k=0}^i a_k c_{i-k} \right) X^i \\
&= \left( \sum a_i X^i \right) \left( \sum b_i X^i \right) + \left( \sum a_i X^i \right) \left( \sum c_i X^i \right).
\end{aligned}$$

Finally, note that the definition of multiplication for series agrees with the definition of multiplication for finite sums. Thus we can define an inclusion map  $k[X] \hookrightarrow k[[X]]$  by  $\sum_{i=0}^n a_i X^i \mapsto \sum_{i=0}^{\infty} a_i X^i$  with  $a_i = 0$  for all  $i > n$ . So  $k[[X]]$  contains a copy of  $k[X]$  as a subring.  $\square$

Show that  $k[[X]]$  is a DVR with uniformizing parameter  $X$ . Its quotientfield is denoted  $k((X))$ .

*Proof.* We will show that every element of  $k[[X]]$  may be written as  $uX^t$  where  $u$  is a unit in  $k[[X]]$ . It suffices to show that  $\sum a_i X^i$  is a unit if and only if  $a_0 \neq 0$ .

Suppose  $\sum a_i X^i \in k[[X]]$  is a unit, that is,  $(\sum a_i X^i)(\sum b_i X^i) = 1$ . Then it must be that  $a_0 b_0 = 1$ , thus  $a_0, b_0$  are each nonzero. Conversely suppose  $\sum a_i X^i \in k[[X]]$ ,  $a_0 \neq 0$ . Define  $\sum b_i X^i \in k[[X]]$  by  $b_0 = a_0^{-1}$  and  $b_i = -a_0^{-1} \sum_{k=1}^i a_k b_{i-k}$  for  $i > 0$ . Observe that

$$\left( \sum a_i X^i \right) \left( \sum b_i X^i \right) = \sum_{i=0}^{\infty} \left( \sum_{k=0}^i a_k b_{i-k} \right) X^i$$

$$\begin{aligned}
&= a_0 a_0^{-1} + \sum_{i=1}^{\infty} \left( a_0 b_i + \sum_{k=1}^i a_k b_{i-k} \right) X^i \\
&= 1 + \sum_{i=1}^{\infty} \left( a_0 \left( -a_0^{-1} \sum_{k=1}^i a_k b_{i-k} \right) + \sum_{k=1}^i a_k b_{i-k} \right) X^i \\
&= 1 + \sum_{i=1}^{\infty} \left( -\sum_{k=1}^i a_k b_{i-k} + \sum_{k=1}^i a_k b_{i-k} \right) X^i \\
&= 1.
\end{aligned}$$

□

**2.32.** Let  $R$  be a DVR satisfying the conditions of Problem 2.30. Any  $z \in R$  then determines a power series  $\lambda_i X^i$ , if  $\lambda_0, \lambda_1, \dots$  are determined as in Problem 2.30(b).

(a) Show that the map  $z \mapsto \sum \lambda_i X^i$  is a one-to-one ring homomorphism of  $R$  into  $k[[X]]$ . We often write  $z = \sum \lambda t^i$ , and call this the *power series expansion* of  $z$  in terms of  $t$ .

*Proof.* Let  $\varphi : R \rightarrow k[[X]]$  be the map defined above. Let  $z, w \in R$ ,  $\varphi(z) = \sum \lambda_i X^i$ ,  $\varphi(w) = \sum \sigma_i X^i$ . If  $z = w$  then  $\lambda_i = \sigma_i$  for each  $i$ . Conversely, if  $\lambda_k \neq \sigma_k$  for some  $k$  then  $z \neq w$ ; in fact,  $z - w = z_k t^k$ ,  $z_k \in R$ , and  $\text{ord}(z - w) = k$ . Thus the map is well defined and one-to-one.

It remains to show that  $\varphi$  is a homomorphism. Let  $z, w \in R$ , and write  $z = \lambda_0 + \dots + \lambda_k t^k + z_{k+1} t^{k+1}$ ,  $w = \sigma_0 + \dots + \sigma_k t^k + w_{k+1} t^{k+1}$ , with  $\lambda_0, \dots, \lambda_k, \sigma_0, \dots, \sigma_k \in k$  and  $z_{k+1}, w_{k+1} \in R$ . Then

$$\begin{aligned}
zw &= (\lambda_0 + \dots + \lambda_k t^k + z_{k+1} t^{k+1})(\sigma_0 + \dots + \sigma_k t^k + w_{k+1} t^{k+1}) \\
&= \lambda_0 \sigma_0 + \left( \sum_{j+l=1} \lambda_j \sigma_l \right) t + \dots + \left( \sum_{j+l=k} \lambda_j \sigma_l \right) t^k + (\dots) t^{k+1}.
\end{aligned}$$

By the uniqueness of coefficients in Problem 2.30, this shows that if  $zw = \gamma_0 + \dots + \gamma_k t^k + h_{k+1} t^{k+1}$ , with  $\gamma_0, \dots, \gamma_k \in k$ ,  $h_{k+1} \in R$ , then  $\gamma_i = \sum_{j+l=i} \lambda_j \sigma_l$ . So  $\varphi(zw) = \varphi(z)\varphi(w)$ . □

(b) Show that the homomorphism extends to a homomorphism of  $K$  into  $k((X))$ , and that the order function on  $k((X))$  restricts to that on  $K$ .

*Proof.* Let  $z \in K \setminus R$ . Since  $z^{-1} \in R$ , we naturally extend  $\phi$  by defining  $\phi(z) = \phi(z^{-1})^{-1}$ . Since  $\phi$  is injective on  $R$ , this extension is a well defined injective homomorphism  $K \hookrightarrow k((X))$ .

It suffices to show  $\text{ord}_R(z) = \text{ord}_{k[[X]]}(\phi(z))$  for all  $z \in R$ . But this is clearly the case since  $\text{ord}_R(z) = k$ ,  $\text{ord}_{k[[X]]}(\phi(z)) = k$ , where  $k$  is the least integer such that  $\lambda_k \neq 0$ .  $\square$

(c) Let  $a = 0$  in Problem 2.24,  $t = X$ . Find the power series expansion of  $z = (1 - X)^{-1}$  and of  $(1 - X)(1 + X^2)^{-1}$  in terms of  $t$ .

Note that  $(1 - X), (1 - X)(1 + X) \notin \mathfrak{m}_0 = (X)$ , so they are invertible. By our explicit construction of the power series inverses in Problem 2.31, we find

$$(1 - X)^{-1} = \sum X^i, \quad (1 + X^2)^{-1} = \sum (-1)^i X^{2i}.$$

Therefore

$$(1 - X)(1 + X^2)^{-1} = \sum (-1)^i X^{2i} - \sum (-1)^i X^{2i+1} = \sum (-1)^{\lceil i/2 \rceil} X^i.$$

**Proposition 5.** (1)  $(FG)_* = F_*G_*$ ;  $(fg)^* = f^*g^*$ .

*Proof.* It is immediate that  $(FG)_* = F_*G_*$ . Let  $f = f_0 + \dots + f_d$ ,  $g = g_0 + \dots + g_l$ , where  $f_i, g_i$  are forms of degree  $i$ . Then

$$fg = \sum_{k=0}^{dl} \sum_{i=0}^k f_i g_{k-i},$$

where  $f_i = 0, g_j = 0$  if  $i > d, j > l$ . Observe that

$$(fg)^* = \sum_{k=0}^{dl} X_{n+1}^k \sum_{i=0}^k f_i g_{k-i} = \sum_{k=0}^{dl} \sum_{i=0}^k (X_{n+1}^i f_i)(X_{n+1}^{k-i} g_{k-i}) = f^*g^*.$$

$\square$

(2) If  $F \neq 0$  and  $r$  is the highest power of  $X_{n+1}$  that divides  $F$ , then  $X_{n+1}^r (F_*)^* = F$ ;  $(f^*)_* = f$ .

*Proof.* Write  $F = GX_{n+1}^r$ , with  $G$  a form and  $X_{n+1} \nmid G$ . Then  $F_* = G_*$ . Moreover,  $G_* = G = G^*$ . Thus  $(F_*)^* = G$ .

To show the second result, recall that

$$f^* = X_{n+1}^d f \left( \frac{X_1}{X_{n+1}}, \dots, \frac{X_n}{X_{n+1}} \right).$$

Thus  $(f^*)_* = f^*(X_1, \dots, X_n, 1) = f$ .  $\square$

(3)  $(F + G)_* = F_* + G_*$ ;  $X_{n+1}^t(f + g)^* = X_{n+1}^r f^* X_{n+1}^s g^*$ , where  $r = \deg(g)$ ,  $s = \deg(f)$ , and  $t = r + s - \deg(f + g)$ .

*Proof.* It is immediate that  $(F + G)_* = F_* + G_*$ . Let  $r, s, t$  be defined as above. Observe that

$$(f + g)^* = X_{n+1}^{\deg(f+g)} f \left( \frac{X_1}{X_{n+1}}, \dots, \frac{X_n}{X_{n+1}} \right) + X_{n+1}^{\deg(f+g)} g \left( \frac{X_1}{X_{n+1}}, \dots, \frac{X_n}{X_{n+1}} \right).$$

and

$$f^* = X_{n+1}^{\deg(f)} f \left( \frac{X_1}{X_{n+1}}, \dots, \frac{X_n}{X_{n+1}} \right), \quad g^* = X_{n+1}^{\deg(g)} g \left( \frac{X_1}{X_{n+1}}, \dots, \frac{X_n}{X_{n+1}} \right).$$

Since  $\deg(f + g) \leq \deg(f) + \deg(g)$ ,  $t \geq 0$  and  $X_{n+1}^t(f + g)^* = X_{n+1}^r f^* X_{n+1}^s g^*$ .  $\square$

**2.33.** Factor  $Y^3 - 2XY^2 + 2X^2Y + X^3$  into linear factors in  $\mathbb{C}[X, Y]$ .

We note that factoring  $F(X, Y) = Y^3 - 2XY^2 + 2X^2Y + X^3$  is the same as factoring  $F(X, 1)$  or  $F(1, Y)$ . Unfortunately, neither factors nicely, so we will simply note that  $F(X, Y) = (X - Y\lambda_1)(X - Y\lambda_2)(X - Y\lambda_3)$ , where  $\lambda_1, \lambda_2, \lambda_3$  are the roots to  $F(X, 1)$  in  $\mathbb{C}$ .

**2.34.** Suppose  $F, G \in k[X_1, \dots, X_n]$  are forms of degree  $r, r+1$  respectively, with no common factors ( $k$  a field). Show that  $F + G$  is irreducible.

*Proof.* Suppose  $F + G = HJ$  for some  $H, J \in k[X_1, \dots, X_n]$ . By Proposition 5,  $(F + G)^* = X_{n+1}F + G = H^*G^* = (HG)^*$ . Since  $X_{n+1}F + G$  is degree 1 as a polynomial in  $X_{n+1}$ , one of  $H^*, G^*$  must be of degree 0 as a polynomial in  $X_{n+1}$ . Therefore one of  $H^*, G^*$  divides  $F$  and  $G$  and is therefore constant, since  $F, G$  share no common factors. Thus one of  $H, G$  are constant. Hence  $F + G$  is irreducible.  $\square$

**2.35.\*** (a) Show that there are  $d+1$  monomials of degree  $d$  in  $R[X, Y]$ , and  $1+2+\dots+(d+1) = (d+1)(d+2)/2$  monomials of degree  $d$  in  $R[X, Y, Z]$ .

*Proof.* A monomial of degree  $d$  in  $R[X, Y]$  is of the form  $X^i Y^j$ ,  $i + j = d$ . There are  $d + 1$  options for  $i$  and  $j = d - i$ .

A monomial of degree  $d$  in  $R[X, Y, Z]$  is of the form  $F_i Z^j$  where  $F_i$  is a monomial of degree  $i$  in  $R[X, Y]$  and  $i + j = d$ . There are  $i + 1$  monomials of degree  $i$  in  $R[X, Y]$ . Thus there are  $1 + 2 + \dots + (d + 1) = (d + 1)(d + 2)/2$  monomials of degree  $d$  in  $R[X, Y, Z]$ .  $\square$

(b) Let  $V(d, n) = \{\text{forms of degree } d \text{ in } k[X_1, \dots, X_n]\}$ ,  $k$  a field. Show that  $V(d, n)$  is a vector space over  $k$ , and the monomials of degree  $d$  form a basis.

*Proof.* It is immediate that  $V(d, n)$  is a vector space with the natural addition and scalar multiplication operations. Clearly the monomials of degree  $d$  span  $V(d, n)$  and are linearly independent over  $k$ . Thus they form a basis.  $\square$

(c) Let  $L_1, L_2, \dots$  and  $M_1, M_2, \dots$  be sequences of nonzero linear forms in  $k[X, Y]$ , and assume no  $L_i = \lambda M_j$ ,  $\lambda \in k$ . Let  $A_{ij} = L_1 L_2 \dots L_i M_1 M_2 \dots M_j$ ,  $i, j \geq 0$  ( $A_{00} = 1$ ). Show that  $\{A_{ij} \mid i + j = d\}$  forms a basis for  $V(d, 2)$ .

It suffices to show that  $\{A_{ij} \mid i + j = d\}$  is a linearly independent set.

*Proof.* Suppose to the contrary that

$$0 = \sum_{i+j=d} a_{ij} L_1 \dots L_i M_1 \dots M_j, \quad a_{ij} \in k \setminus \{0\}.$$

Then

$$L_1 \dots L_d = \sum_{i+j=d, j>0} a_{ij} L_1 \dots L_i M_1 \dots M_j.$$

So

$$L_1 \dots L_d = M_1 \sum_{i+j=d-1} a_{ij} L_1 \dots L_i M_2 \dots M_j.$$

Thus, since  $L_1, \dots, L_d, M_1$  are all linear,  $M_1 = \lambda L_i$ , a contradiction.  $\square$

**2.36.** With the above notation, show that  $\dim V(d, n) = \binom{d+n-1}{n-1}$ .

*Proof.* There are  $n$  variables, whose powers must add up to  $d$ . This is counted by

$$\binom{\binom{n}{d}}{d} = \binom{d+n-1}{n-1}.$$

$\square$

**2.37.** What are the additive and multiplicative identities in  $\prod R_i$ ? Is the map from  $R_i$  to  $\prod R_j$  taking  $a_i$  to  $(0, \dots, a_i, \dots, 0)$  a ring homomorphism?

The additive identity is  $(0, 0, \dots, 0)$  and the multiplicative identity is  $(1, 1, \dots, 1)$ . The inclusion map  $i_{R_i} : R_i \hookrightarrow \prod R_j$  is an injective homomorphism.



*Proof.* Clearly  $(0, \dots, a_i, \dots, 0) + (0, \dots, b_i, \dots, 0) = (0, \dots, a_i + b_i, \dots, 0)$ , and similarly for multiplication.  $\square$

**2.38.\*** Show that if  $k \subset R_i$ , and each  $R_i$  is finite dimensional over  $k$ , then  $\dim(\prod R_i) = \sum \dim R_i$ .

*Proof.* Let  $R, S$  be rings,  $\{r_i\}, \{s_j\}$  finite basis for  $R, S$  over  $k$ . Then  $\{(r_i, 0)\} \cup \{(0, s_j)\}$  is clearly a linearly independent spanning set for  $R \times S$ . Thus  $\dim(R \times S) = \dim R + \dim S$ . The result then follows by induction.  $\square$

**2.39.\*** Prove the following relations among ideals  $I_i, J$  in a ring  $R$ :

(a)  $(I_1 + I_2)J = I_1J + I_2J$ .

*Proof.* Suppose  $x = (i_1 + i_2)j \in (I_1 + I_2)J$ . Then  $x = i_1j + i_2j \in I_1J + I_2J$ . Suppose  $x = i_1j_1 + i_2j_2 \in I_1J + I_2J$ . Then  $i_1j_1, i_2j_2 \in (I_1 + I_2)J$  and thus  $x \in (I_1 + I_2)J$ . So  $(I_1 + I_2)J = I_1J + I_2J$ .  $\square$

(b)  $(I_1 \dots I_N)^n = I_1^n \dots I_N^n$ .

*Proof.* Observe that  $(a_1 \dots a_N)^n = a_1^n \dots a_N^n$  where  $a_k \in I_k$ . Thus  $(I_1 \dots I_N)^n = I_1^n \dots I_N^n$ .  $\square$

**2.40.\*** (a) Suppose  $I, J$  are comaximal ideals in  $R$ . Show that  $I + J^2 = R$ . Show that  $I^m$  and  $J^n$  are comaximal for all  $m, n$ .

*Proof.* Let  $a \in I, b \in J$  such that  $a + b = 1$ . Then  $ab \in I, b^2 \in J^2$  and  $ab + b^2 = b$ . Thus  $a, b \in I + J^2$  and therefore  $1 \in I + J^2$ .

By induction, the above implies that  $I^m$  and  $J^n$  are comaximal for all  $m, n$ .  $\square$

(b) Suppose  $I_1, \dots, I_N$  are ideals in  $R$ , and  $I_i$  and  $J_i = \cap_{j \neq i} I_j$  are comaximal for all  $i$ . Show that  $I_1^n \cap \dots \cap I_N^n = (I_1 \dots I_N)^n = (I_1 \cap \dots \cap I_N)^n$  for all  $n$ .

*Proof.* This follows from part (a) and the fact that  $I \cap J = IJ$  when  $I, J$  are comaximal.  $\square$

**2.41.\*** Let  $I, J$  be ideals in  $R$ . Suppose  $I$  is finitely generated and  $I \subset \text{Rad}(J)$ . Show that  $I^n \subset J$  for some  $n$ .

*Proof.* Let  $I = (a_1, \dots, a_N)$ . Since  $I \subset \text{Rad}(J)$  for each  $i$ ,  $a_i^{n_i} \in J$  for some  $n_i \geq 1$ . If we take  $n = N \cdot \max \{n_i \mid 1 \leq i \leq N\}$  then, by the multinomial theorem and pigeon hole principle,  $I^n = ((a_1) + \dots + (a_N))^n \subset (a_1)^{n_1} + \dots + (a_N)^{n_N} \subset J$ .  $\square$

**2.42.\*** (a) Let  $I \subset J$  be ideals in a ring  $R$ . Show that there is a natural ring homomorphism from  $R/I$  onto  $R/J$ .

*Proof.* This follows from applying Lemma 1.2 to the natural maps  $R \rightarrow R/I$ ,  $R \rightarrow R/J$ .  $\square$

(b) Let  $I$  be an ideal in a ring  $R$ ,  $R$  a subring of a ring  $S$ . Show that there is a natural ring homomorphism from  $R/I$  to  $S/IS$ .

*Proof.* Define  $\varphi : R \rightarrow S/IS$  by  $r \mapsto r + IS$ . Note that this is a well defined homomorphism since  $I \subset IS$ . Moreover,  $\varphi(I) = \{0 + IS\} \subset S/IS$ . Thus we may apply Lemma 1.2 to the natural map  $R \rightarrow R/I$  and the map  $\varphi : R \rightarrow S/IS$  and yield the desired result.  $\square$

**2.43.\*** Let  $P = (0, \dots, 0) \in \mathbb{A}^n$ ,  $\mathcal{O} = \mathcal{O}_P(\mathbb{A}^n)$ ,  $\mathfrak{m} = \mathfrak{m}_P(\mathbb{A}^n)$ . Let  $I \subset k[X_1, \dots, X_n]$  be the ideal generated by  $X_1, \dots, X_n$ . Show that  $I\mathcal{O} = \mathfrak{m}$ , so  $I^r\mathcal{O} = \mathfrak{m}^r$  for all  $r$ .

*Proof.* Observe that if  $f = F/G \in \mathcal{O}$ , then  $f \in \mathfrak{m}$ , that is  $f(P) = 0$ , if and only if  $F(P) = 0$ . Moreover,  $F(P) = 0$  if and only if  $F \in I(P) = I$ . So  $\mathfrak{m} = I\mathcal{O}$ .  $\square$

**2.44.\*** Let  $V$  be a variety in  $\mathbb{A}^n$ ,  $I = I(V) \subset k[X_1, \dots, X_n]$ ,  $P \in V$ , and let  $J$  be an ideal of  $k[X_1, \dots, X_n]$  that contains  $I$ . Let  $J'$  be the image of  $J$  in  $\Gamma(V)$ . Show that there is a homomorphism  $\varphi$  from  $\mathcal{O}_P(\mathbb{A}^n)/J\mathcal{O}_P(\mathbb{A}^n)$  to  $\mathcal{O}_P(V)/J'\mathcal{O}_P(V)$ , and that  $\varphi$  is an isomorphism. In particular,  $\mathcal{O}_P(\mathbb{A}^n)/I\mathcal{O}_P(\mathbb{A}^n)$  is isomorphic to  $\mathcal{O}_P(V)$ .

*Proof.* Observe that by Lemma 2.13 the homomorphism  $\varphi : \Gamma(\mathbb{A}^n) \rightarrow \Gamma(V)$  extends naturally to a homomorphism  $\varphi' : \mathcal{O}_P(\mathbb{A}^n) \rightarrow \mathcal{O}_P(V)$ . Moreover, note that  $J' = \varphi(J)$ , thus  $\varphi'(J\mathcal{O}_P(\mathbb{A}^n)) = J'\mathcal{O}_P(V)$ . Let  $\pi : \mathcal{O}_P(\mathbb{A}^n) \rightarrow J\mathcal{O}_P(\mathbb{A}^n)$  and  $\pi_V : \mathcal{O}_P(V) \rightarrow \mathcal{O}_P(V)/J'\mathcal{O}_P(V)$  be the natural homomorphisms.

$$\begin{array}{ccc} \mathcal{O}_P(\mathbb{A}^n) & \xrightarrow{\varphi'} & \mathcal{O}_P(V) \\ \downarrow \pi & & \downarrow \pi_V \\ \mathcal{O}_P(\mathbb{A}^n)/J\mathcal{O}_P(\mathbb{A}^n) & \longrightarrow & \mathcal{O}_P(V)/J'\mathcal{O}_P(V) \end{array}$$

Observe that  $\ker \pi = J\mathcal{O}_P(\mathbb{A}^n) = \varphi'^{-1}(J'\mathcal{O}_P(V)) = \ker \pi_V \circ \varphi'$ . Thus by Lemma 1.2, the homomorphism  $\pi_V \circ \varphi'$  induces an isomorphism  $\mathcal{O}_P(\mathbb{A}^n)/J\mathcal{O}_P(\mathbb{A}^n) \rightarrow \mathcal{O}_P(V)/J'\mathcal{O}_P(V)$ .  $\square$

**2.45.\*** Show that ideals  $I, J \subset k[X_1, \dots, X_n]$  ( $k$  algebraically closed) are comaximal if and only if  $V(I) \cap V(J) = \emptyset$ .

*Proof.* Note that  $V(I) \cap V(J) = V(I + J)$ . By the weak Nullstellensatz,  $V(I + J) = \emptyset$  if and only if  $I + J = k[X_1, \dots, X_n]$ . Thus  $I + J$  are comaximal if and only if  $V(I) \cap V(J) = \emptyset$ .  $\square$

**2.46.\*** Let  $I = (X, Y) \subset k[X, Y]$ . Show that  $\dim_k(k[X, Y]/I^n) = 1 + 2 + \dots + n = \frac{n(n+1)}{2}$ .

*Proof.* Observe that the residues of monomials of degree  $d < n$  span  $k[X, Y]/I^n$  and are linearly independent over  $k$ . By Problem 2.35(a) there are  $d + 1$  monomials of degree  $d$ . Therefore  $k[X, Y]/I^n$  is of dimension  $1 + 2 + \dots + n = \frac{n(n+1)}{2}$ .  $\square$

**Lemma 2.14.** Let  $V \subset \mathbb{A}^n$  be an algebraic set. Then  $\dim_k(k[X_1, \dots, X_n]/I(V))$  is finite if and only if  $V$  is finite.

*Proof.* Let us decompose  $V(I)$  into irreducible algebraic sets  $V(I) = \bigcup_{i=1}^m V_i$ .

Suppose  $V$  is finite, that is, each  $V_i$  is a point. Therefore the ideals  $I(V_1), \dots, I(V_n)$  are distinct maximal ideals. In particular, they are pairwise comaximal. Thus, by the Chinese Remainder Theorem,

$$k[X_1, \dots, X_n]/I(V) \cong k[X_1, \dots, X_n]/I(V_1) \times \dots \times k[X_1, \dots, X_n]/I(V_m) \cong k^m$$

Conversely, suppose  $\dim_k(k[X_1, \dots, X_n]/I(V)) = d < \infty$ . Since  $I(V_i) \supset I(V)$  for each  $i$ ,  $\dim_k(k[X_1, \dots, X_n]/I(V_i))$  is finite. Thus, by Problem 2.4,  $k[X_1, \dots, X_n]/I(V_i) \cong k$  for each  $i$  and the result follows as above. Moreover,  $d = m$ .  $\square$

**2.47.\*** Suppose  $R$  is a ring containing  $k$ , and  $R$  is finite dimensional over  $k$ . Show that  $R$  is isomorphic to a direct product of local rings.

*Proof.* Let  $\{v_1, \dots, v_n\}$  be a basis for  $R$  over  $k$ . Let  $\varphi : k[X_1, \dots, X_n] \rightarrow R$  be the natural map sending  $X_i \mapsto v_i$ . Then  $\varphi$  is a surjective ring homomorphism (and thus also a surjective vector space homomorphism over  $k$ ). Let  $I = \ker \varphi$ . Note that  $\dim_k(R) = \dim_k(k[X_1, \dots, X_n]/I)$ . By Lemma 2.14  $V(I)$  is finite. Then by Proposition 6,

$$R \cong k[X_1, \dots, X_n]/I \cong \prod_{i=1}^N \mathcal{O}_i/I\mathcal{O}_i.$$

$\square$

**2.48.\*** Verify that for any  $R$ -module homomorphism  $\varphi : M \rightarrow M'$ ,  $\ker(\varphi)$  and  $\text{im}(\varphi)$  are submodules of  $M$  and  $M'$  respectively. Show that

$$0 \longrightarrow \ker(\varphi) \longrightarrow M \xrightarrow{\varphi} \text{im}(\varphi) \longrightarrow 0$$

is exact.

*Proof.* The map  $\phi : M \rightarrow \text{im}(\phi)$  is surjective by definition and similarly  $\ker \phi \hookrightarrow M$  is the inclusion map and therefore injective.  $\square$

**2.49.\*** (a) Let  $N$  be a submodule of  $M$ ,  $\pi : M \rightarrow M/N$  the natural homomorphism. Suppose  $\varphi : M \rightarrow M'$  is a homomorphism of  $R$ -modules, and  $\varphi(N) = 0$ . Show that there is a unique homomorphism  $\bar{\varphi} : M/N \rightarrow M'$  such that  $\bar{\varphi} \circ \pi = \varphi$ .

*Proof.* See Lemma 1.2.  $\square$

(b) If  $N$  and  $P$  are submodules of a module  $M$ , with  $P \subset N$ , then there are natural homomorphisms from  $M/P$  onto  $M/N$  and from  $N/P$  into  $M/P$ . Show that the resulting sequence

$$0 \longrightarrow N/P \longrightarrow M/P \longrightarrow M/N \longrightarrow 0$$

is exact ("Second Noether Isomorphism Theorem").

*Proof.* The map  $N/P \hookrightarrow M/P$  is the natural inclusion map. The map  $\varphi : M/P \rightarrow M/N$  exists by part (a). Again by part (a)  $\ker \varphi = \ker(\varphi \circ \pi)$  where  $\pi : M \rightarrow M/P$  is the natural homomorphism. Thus the sequence is exact.  $\square$

(c) Let  $U \subset W \subset V$  be vector spaces, with  $V/U$  finite dimensional. Then  $\dim V/U = \dim V/W + \dim W/U$ .

*Proof.* Observe that

$$0 \longrightarrow W/U \longrightarrow V/U \longrightarrow V/W \longrightarrow 0$$

is exact by part (b). The result then follows by Proposition 7.  $\square$

(d) If  $J \subset I$  are ideals in a ring  $R$ , there is a natural exact sequence of  $R$ -modules:

$$0 \longrightarrow I/J \longrightarrow R/J \longrightarrow R/I \longrightarrow 0.$$

*Proof.* Note that ideals of a ring  $R$  are clearly  $R$ -submodules of  $R$ . Therefore the result follows from part (b).  $\square$

(e) If  $\mathcal{O}$  is a local ring with maximal ideal  $\mathfrak{m}$ , there is a natural exact sequence of  $\mathcal{O}$ -modules

$$0 \longrightarrow \mathfrak{m}^n/\mathfrak{m}^{n+1} \longrightarrow \mathcal{O}/\mathfrak{m}^{n+1} \longrightarrow \mathcal{O}/\mathfrak{m}^n \longrightarrow 0.$$

*Proof.* Observe that  $\mathfrak{m}^{n+1} \subset \mathfrak{m}^n \subset \mathcal{O}$ . Thus the result follows by part (d).  $\square$

**2.50.\*** Let  $R$  be a DVR satisfying the conditions of Problem 2.30. Then  $\mathfrak{m}^n/\mathfrak{m}^{n+1}$  is an  $R$ -module, and so also a  $k$ -module, since  $k \subset R$ .

(a) Show that  $\dim_k(\mathfrak{m}^n/\mathfrak{m}^{n+1}) = 1$  for all  $n \geq 0$ .

*Proof.* By (b)  $R/\mathfrak{m}^n$  has dimension  $n$  over  $k$ . Moreover, by 2.49(e), the following sequence is exact

$$0 \longrightarrow \mathfrak{m}^n/\mathfrak{m}^{n+1} \longrightarrow R/\mathfrak{m}^{n+1} \longrightarrow R/\mathfrak{m}^n \longrightarrow 0.$$

Thus, by Proposition 7,

$$\dim_k(\mathfrak{m}^n/\mathfrak{m}^{n+1}) = \dim_k(R/\mathfrak{m}^{n+1}) - \dim_k(R/\mathfrak{m}^n) = n + 1 - n = 1.$$

$\square$

(b) Show that  $\dim_k(R/\mathfrak{m}^n) = n$  for all  $n > 0$ .

*Proof.* By Problem 2.30  $R = \{\lambda_0 + \dots + \lambda_{n-1}t^{n-1} + z^n t^n\}$ , where  $t$  is the uniformizing parameter. Therefore  $R/\mathfrak{m}^n$  has spanning linearly independent set  $\{t^d \mid 0 \leq d \leq n-1\}$ .  $\square$

(c) Let  $z \in R$ . Show that  $\text{ord}(z) = n$  if  $(z) = \mathfrak{m}^n$ , and hence that  $\text{ord}(z) = \dim_k(R/(z))$ .

*Proof.* If  $(z) = \mathfrak{m}^n$  then  $z = ut^n$  and  $\text{ord}(z) = n$ .  $\square$

**2.51.** Let  $0 \longrightarrow V_1 \longrightarrow \dots \longrightarrow V_n \longrightarrow 0$  be an exact sequence of finite-dimensional vector spaces. Show that  $\sum (-1)^i \dim(V_i) = 0$ .

*Proof.* For  $n = 2$  the statement is trivial. Proposition 7 proves the statement for such sequences with  $n = 3, 4$ . Suppose  $n > 4$  and the statement holds for all such exact sequences

of length less than  $n$  (and at least 2). For  $1 \leq k \leq n-1$ , let  $\varphi_k : V_k \rightarrow V_{k+1}$  be the homomorphism from the exact sequence. Define  $W = \ker \phi_{n-1} = \text{im} \phi_{n-2}$ . Then

$$0 \longrightarrow V_1 \longrightarrow \dots \longrightarrow V_{n-2} \longrightarrow W \longrightarrow 0 \text{ and } 0 \longrightarrow V_{n-1} \longrightarrow V_n \longrightarrow W \longrightarrow 0$$

are exact. The second sequence gives us that  $\dim W = \dim V_{n-1} - \dim V_n$ . Therefore, by the first sequence,

$$0 = \sum_{i=1}^{n-2} (-1)^i \dim V_i + (-1)^{n-1} \dim W = \sum_{i=1}^n (-1)^i \dim V_i.$$

□

**2.52.\*** Let  $N, P$  be submodules of a module  $M$ . Show that the subgroup  $N+P = \{n+p \mid n \in N, p \in P\}$  is a submodule of  $M$ . Show that there is a natural  $R$ -module isomorphism of  $N/(N \cap P)$  onto  $(N+P)/P$  ("First Noether Isomorphism Theorem").

*Proof.* Observe that for  $n, m \in N$ ,  $n-m \in P$  if and only if  $n-m \in N \cap P$ . Thus the map  $\varphi : N/(N \cap P) \rightarrow (N+P)/P$  defined by  $n + (N \cap P) \mapsto n + P$  is a well defined injective  $R$ -module homomorphism. Moreover, if  $x \in N+P$  then  $x = n+p$  for some  $n \in N, p \in P$ . So  $x + P = n + P = \varphi(n + (N \cap P))$ . So  $\varphi$  is surjective. □

**2.53.\*** Let  $V$  be a vector space,  $W$  a subspace,  $T : V \rightarrow V$  a one-to-one linear map such that  $T(W) \subset W$ , and assume  $V/W$  and  $W/T(W)$  are finite dimensional.

(a) Show that  $T$  induces an isomorphism of  $V/W$  with  $T(V)/T(W)$ .

*Proof.* Let  $\pi : V \rightarrow V/W$  and  $\pi_T : T(V) \rightarrow T(W)$  be the natural homomorphisms. Observe that  $\ker \pi_T \circ T = W = \ker \pi$ . Thus by Lemma 1.2,  $\pi_T \circ T$  induces an isomorphism  $V/W \rightarrow T(V)/T(W)$ . □

(b) Construct an isomorphism between  $T(V)/(W \cap T(V))$  and  $(W + T(V))/W$ , and an isomorphism between  $W/(W \cap T(V))$  and  $(W + T(V))/T(V)$ .

*Proof.* Note that  $T(V), W$  are both submodules of  $V$ . Therefore both results follow from Problem 2.52. □

(c) Use Problem 2.49(c) to show that  $\dim V/(W + T(V)) = \dim(W \cap T(V))/T(W)$ .

*Proof.* Observe that  $V/W$  is isomorphic to  $T(V)/T(W)$  since  $T$  is an isomorphism. Therefore  $\dim V/W = \dim T(V)/T(W) = n$ . Note that  $W \subset W + T(V) \subset V$  and  $V/W$  is finite dimensional. Therefore by Problem 2.49(c),

$$n = \dim V/W = \dim V/(W + T(V)) + \dim(W + T(V))/W.$$

Similarly,  $T(W) \subset W \cap T(V) \subset T(V)$  and thus

$$n = \dim T(V)/T(W) = \dim T(V)/(W \cap T(V)) + \dim(W \cap T(V))/T(W).$$

By part (b),  $\dim T(V)/(W \cap T(V)) = \dim(W + T(V))/W$ , yielding the desired equality  $\dim V/(W + T(V)) = \dim(W \cap T(V))/T(W)$ .  $\square$

(d) Conclude finally that  $\dim V/T(V) = \dim W/T(W)$ .

*Proof.* Observe that  $(V/T(W))/(W/T(W)) \cong T/W$  by the second isomorphism theorem for modules. Since  $W/T(W)$  and  $T/W$  are finitely dimensional, Lemma 2.2 implies that  $V/T(W)$  is finitely dimensional. Since  $T(V) \subset W + T(V) \subset V$ , Problem 2.49(c) shows

$$\dim V/T(V) = \dim V/(W + T(V)) + \dim(W + T(V))/T(V).$$

Moreover, since  $W/T(W)$  is finite dimensional and  $T(W) \subset W \cap T(V) \subset W$ ,

$$\dim W/T(W) = \dim W/(W \cap T(V)) + \dim(W \cap T(V))/T(W).$$

By part (b),

$$\dim W/(W \cap T(V)) = \dim(W + T(V))/T(V).$$

By part (c),

$$\dim(W \cap T(V))/T(W) = \dim V/(W + T(V)).$$

Therefore

$$\dim V/T(V) = \dim W/T(W).$$

$\square$

**2.54.** What does  $M$  being free on  $m_1, \dots, m_n$  say in terms of the elements of  $M$ ?

It means that every element of  $M$  may be written as a sum  $\sum_{i=1}^n a_i m_i$ , where  $a_1, \dots, a_n \in R$ .

**2.55.** Let  $F = X^n + a_1X^{n-1} + \dots + a_n$  be a monic polynomial in  $R[X]$ . Show that  $R[X]/(F)$  is a free  $R$ -module with basis  $\bar{1}, \bar{X}, \dots, \bar{X}^{n-1}$ , where  $\bar{X}$  is the residue of  $X$ .

*Proof.* Let  $X = \{\bar{1}, \bar{X}, \dots, \bar{X}^{n-1}\}$ . Observe that the natural  $R$ -module homomorphism  $M_X \rightarrow R[X]/(F)$  is surjective with trivial kernel, and thus an isomorphism.  $\square$

**2.56.** Show that a subset  $X$  of a module  $M$  generates  $M$  if and only if the homomorphism  $M_X \rightarrow M$  is onto. Every module is isomorphic to a quotient of a free module.

*Proof.* The first statement is immediate from Problem 2.54. Let  $M$  be an arbitrary  $R$ -module and  $X$  a (possibly infinite) set of generators for  $M$ . Then there exists a natural surjective homomorphism  $\pi : M_X \rightarrow M$  and  $M_X/\ker \pi$  is isomorphic to  $M$ .  $\square$

### 3 Local Properties of Plane Curves

**3.1.** Prove that in the above examples  $P = (0, 0)$  is the only multiple point on the curves  $C, D, E$ , and  $F$ .

*Proof.* Observe that  $C_X = 3X^2$  and  $C_Y = 2Y$ . Thus if  $C_X = C_Y = 0$ , then  $X = Y = 0$ . Moreover,  $C(0, 0) = 0$  so  $P = (0, 0)$  is the only multiple point on  $C$ .

Observe that  $D_X = -3X^2 - 2X$  and  $D_Y = 2Y$ . Thus if  $D_X = D_Y = 0$ , then  $Y = 0$  and  $X = 0$  or  $X = -2/3$ . Moreover,  $D(0, 0) = 0$  and  $D(-2/3, 0) \neq 0$ . So  $P(0, 0)$  is the only multiple point on  $D$ .

Observe that  $E_X = 4(X^2 + Y^2)X + 6XY$  and  $E_Y = 4(X^2 + Y^2)Y + 3X^2 - 2Y$ . If  $E_X = 0$  then either  $X = 0$ ,  $X^2 = -Y^2$ , or  $4(X^2 + Y^2) = -6Y$ . If  $X = 0$  and  $E_Y = 0$  then  $4Y^3 - 2Y^2 = 0$  and hence  $Y = 0$  or  $Y = 1/2$ . If  $X^2 = -Y^2$  and  $E_Y = 0$  then  $-3Y^2 - 2Y^2 = 0$  and  $Y = 0$ . If  $4(X^2 + Y^2) = -6Y$  and  $E_Y = 0$  then, with a bit more computation,  $Y = 0$  or  $Y = -9/14$ . This gives us several possible multiple points, but checking we find only  $P = (0, 0)$  is on  $E$ .

Observe that  $F_X = 6(X^2 + Y^2)^2X - 8XY^2$  and  $F_Y = 6(X^2 + Y^2)^2Y - 8X^2Y$ . If  $F_X = 0$  then  $X = 0$  or  $6(X^2 + Y^2)^2 = 8Y^2$ . If  $F_Y = 0$  then  $Y = 0$  or  $6(X^2 + Y^2)^2 = 8X^2$ . Suppose that  $F_X = F_Y = 0$ . If  $X = 0$  or  $Y = 0$  then  $X = Y = 0$ . It remains to consider the case  $6(X^2 + Y^2)^2 = 8Y^2$  and  $6(X^2 + Y^2)^2 = 8X^2$ . Note that this gives us  $X^2 = Y^2$ . Substituting we have  $24X^4 = 8X^2$  and thus  $X = \pm\sqrt{1/3}$ . However,  $D(\pm\sqrt{1/3}, \pm\sqrt{1/3}) \neq 0$ . So  $P = (0, 0)$  is the only multiple point on  $D$ .  $\square$



**3.2.** Find the multiple points on the following curves:

(a)  $Y^3 - Y^2 + X^3 - X^2 + 3XY^2 + 3X^2Y + 2XY$

(b)  $X^4 + Y^4 - X^2Y^2$

(c)  $X^3 + Y^3 - 3X^2 - 3Y^2 + 3XY + 1$

(d)  $Y^2 + (X^2 - 5)(4X^4 - 20X^2 + 25)$

Sketch the part of the curve (d) that is contained in  $\mathbb{A}^2(\mathbb{R}) \subset \mathbb{A}^2(\mathbb{C})$ .

We compute the above using the following sage file.

```
# compute_multiple_points.sage
# Author: Aven Bross (kieroda@gmail.com)

def compute_multiple_point_ideal(f, R):
    x, y = R.gens()
    I = (f, f.derivative(x), f.derivative(y))*R
    return I.groebner_basis()

R, (x, y) = CC['x', 'y'].objgens()

a = y^3-y^2+x^3-x^2+3*x*y^2+3*x^2*y+2*x*y
b = x^4+y^4-x^2*y^2
c = x^3+y^3-3*x^2-3*y^2+3*x*y+1
d = y^2+(x^2-5)*(4*x^4-20*x^2+25)

Ia = compute_multiple_point_ideal(a, R)
Ib = compute_multiple_point_ideal(b, R)
Ic = compute_multiple_point_ideal(c, R)
Id = compute_multiple_point_ideal(d, R)

# Plot the real part of curve (d)

Pd = implicit_plot(y^2+(x^2-5)*(4*x^4-20*x^2+25), \
    (y, -12, 12), (x, -3, 3))
```

For each curve  $F$  we compute a Groebner basis for the ideal  $(F, F_X, F_Y)$ . This gives us a clear view of the set of points where all three are zero, that is, the multiple points of the curve. We produce the following

```
sage: Ia
[y^2, x - y]
sage: Ib
[y^5, x*y^3, x^3 + (-0.5000000000000000)*x*y^2, \
    x^2*y + (-2.0000000000000000)*y^3]
sage: Ic
[x - 1.0000000000000000, y - 1.0000000000000000]
sage: Id
[x^2 - 2.5000000000000000, y]
```

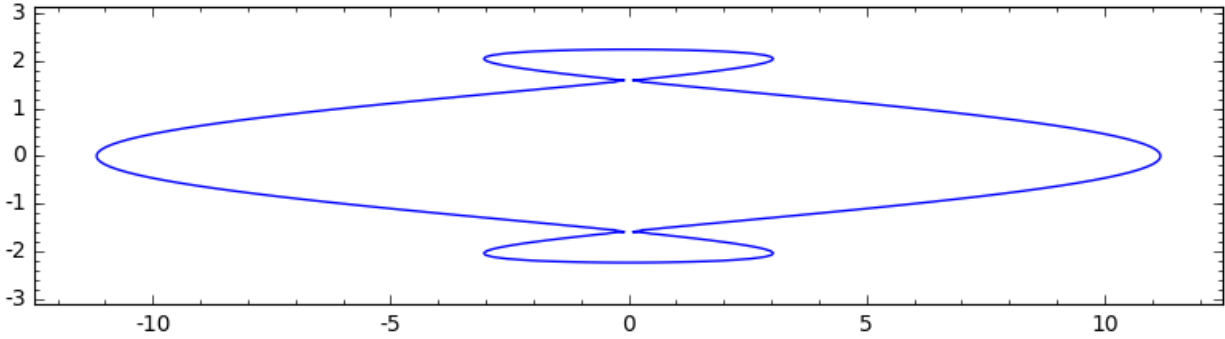


Figure 1: The real part of the curve from 3.2 (d), drawn with  $X$  on the vertical axis.

Therefore the curve in (a) has one double point at  $(0, 0)$ , and the curve in (b) has one quadruple point at  $(0, 0)$ . The curve in (c) has a multiple point at  $(1, 1)$  and, computing

```
sage: c(x+1, y+1)
x^3 + y^3 + 3.000000000000000*x*y
```

we find that  $(1, 1)$  is a double point. Finally, the curve in (d) has multiple points at  $(\pm\sqrt{5/2}, 0)$ . Similarly to above, we compute  $d(x+\sqrt{2.5}, y)$  and  $d(x-\sqrt{2.5}, y)$  to see that each is a double point.

A sketch of the real part of the curve from (d) is shown in Figure 1, with both double points clearly visible.

**3.3.** If a curve  $F$  of degree  $n$  has a point  $P$  of multiplicity  $n$ , show that  $F$  consists of  $n$  lines through  $P$  (not necessarily distinct).

*Proof.* Suppose  $F$  has degree  $n$  and  $P = (a, b)$  is a point on  $F$  of multiplicity  $n$ . Then  $F^T$  is a form of degree  $n$ , where  $T(X, Y) = (X + a, Y + b)$  is the translation mapping  $(0, 0) \mapsto P$ . Thus by the Corollary to Proposition 5 in Section 2.6 we may factor

$$F^T = F(X + a, Y + b) = \prod_{i=1}^n (c_i X + d_i Y).$$

Observe that  $F = (F^T)^{T^{-1}}$  and thus

$$F = F^T(X - a, Y - b) = \prod_{i=1}^n (c_i(X - a) + d_i(Y - b)).$$

That is,  $F$  consists of  $n$  lines through  $P$ . □

**3.4.** Let  $P$  be a double point on a curve  $F$ . Show that  $P$  is a node if and only if  $F_{XY}(P)^2 \neq F_{XX}(P)F_{YY}(P)$ .

*Proof.* Suppose  $(0, 0)$  is an ordinary double point on curve  $F$  of degree  $n$ . Then

$$F = F_n + F_{n-1} + \dots + (c_1X + d_1Y)(c_2X + d_2Y).$$

Observe

$$F_{XY} = (F_n)_{XY}$$

□

**3.5.** Show that  $m_P(F)$  is the smallest integer  $m$  such that for some  $i + j = m$ ,  $\frac{\partial^m F}{\partial x^i \partial y^j}(P) \neq 0$ . Find an explicit description for the leading form for  $F$  at  $P$  in terms of these derivatives.

**3.6.** Irreducible curves with given tangent lines  $L_i$  of multiplicity  $r_i$  may be constructed as follows: if  $\sum r_i = m$ , let  $F = \prod L_i^{r_i} + F_{m+1}$ , where  $F_{m+1}$  is chosen to make  $F$  irreducible (see Problem 2.34).

*Proof.* Clearly  $F$  has tangent lines  $L_i$  with multiplicity  $r_i$ . Moreover,  $\prod L_i^{r_i}$  is a form of degree  $m$ . Thus if  $F_{m+1}$  is a form of degree  $m + 1$  such that none of the  $L_i$ 's are a factor of  $F_{m+1}$ , then  $F$  is irreducible by Problem 2.34. □

**3.7.** (a) Show that the real part of the curve  $E$  of the examples is the set of points in  $\mathbb{A}^2(\mathbb{R})$  whose polar coordinates  $(r, \theta)$  satisfy the equation  $r = -\sin(3\theta)$ . Find the polar equation for the curve  $F$ .

(b) If  $n$  is an odd integer  $\geq 1$ , show that the equation  $r = \sin(n\theta)$  defines the real part of a curve of degree  $n + 1$  with an ordinary  $n$ -tuple point at  $(0, 0)$ . (Use the fact that  $\sin(n\theta) = \text{Im}(e^{in\theta})$  to get the equation; note that rotation by  $\pi/n$  is a linear transformation that takes the curve onto itself.)

(c) Analyze the singularities that arise by looking at  $r^2 = \sin^2(n\theta)$ ,  $n$  even.

(d) Show that the curves constructed in (b) and (c) are all irreducible in  $\mathbb{A}^2(\mathbb{C})$ .